Hybrid Electric Vehicle Energy Management: Harder, Better, Faster, Stronger

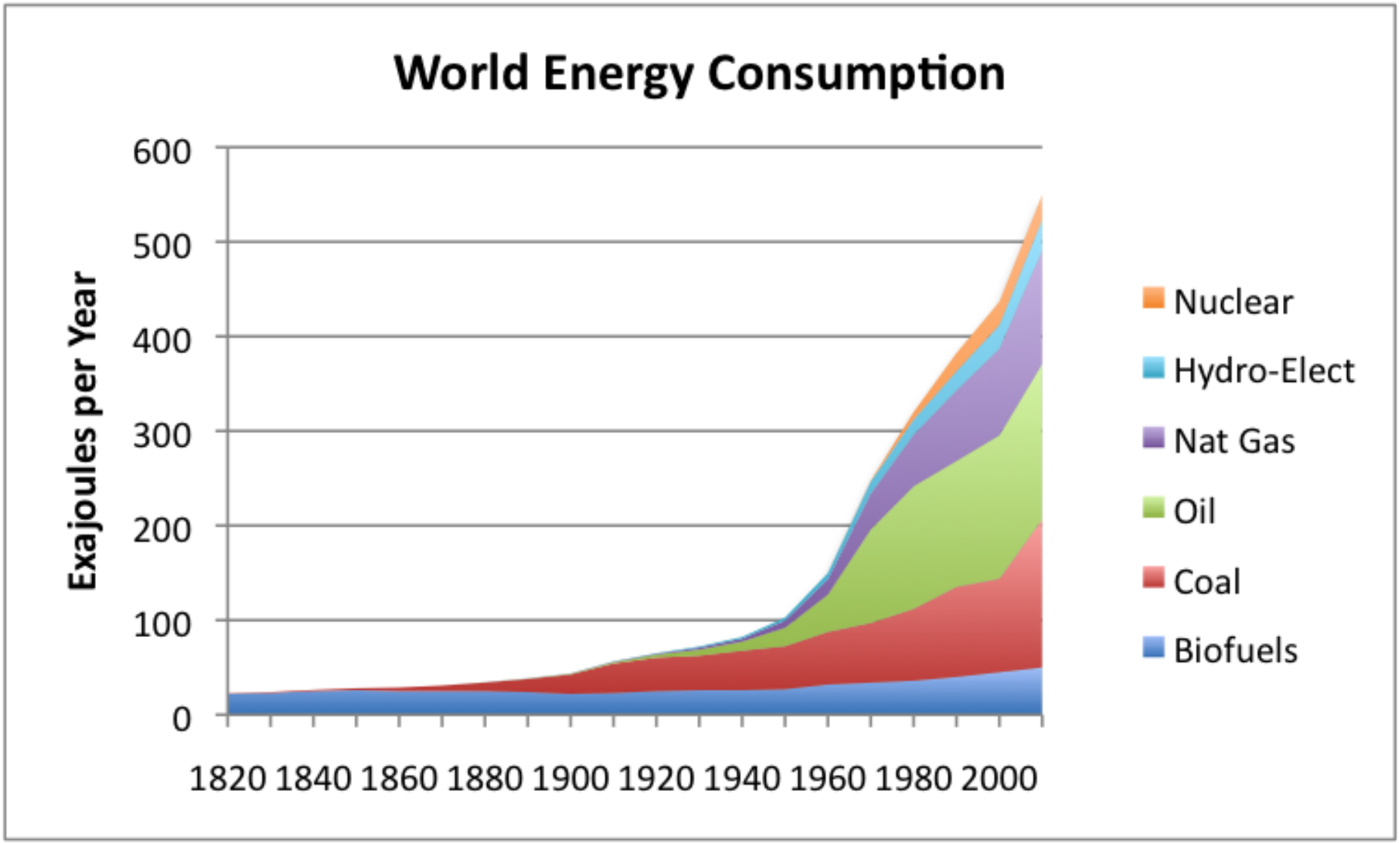
Scott Moura

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Civl & Environmental Engineering
University of California, Berkeley

Institute of Transportation Studies
UC Berkeley
September 27, 2013

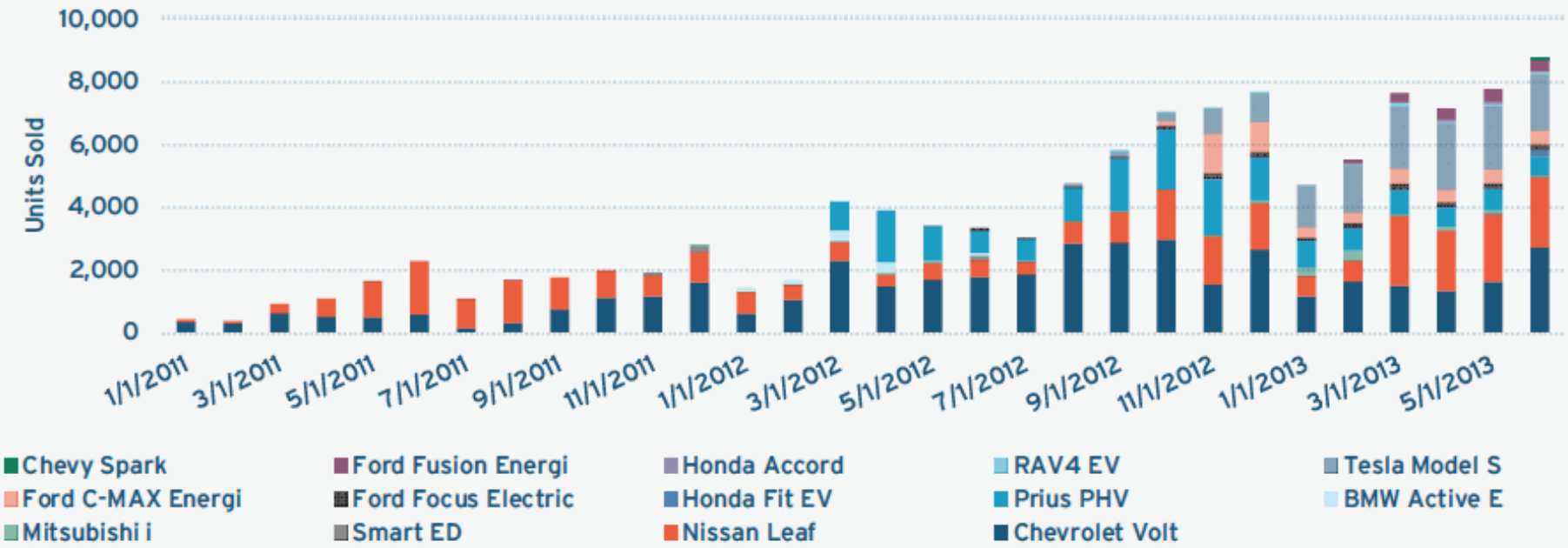




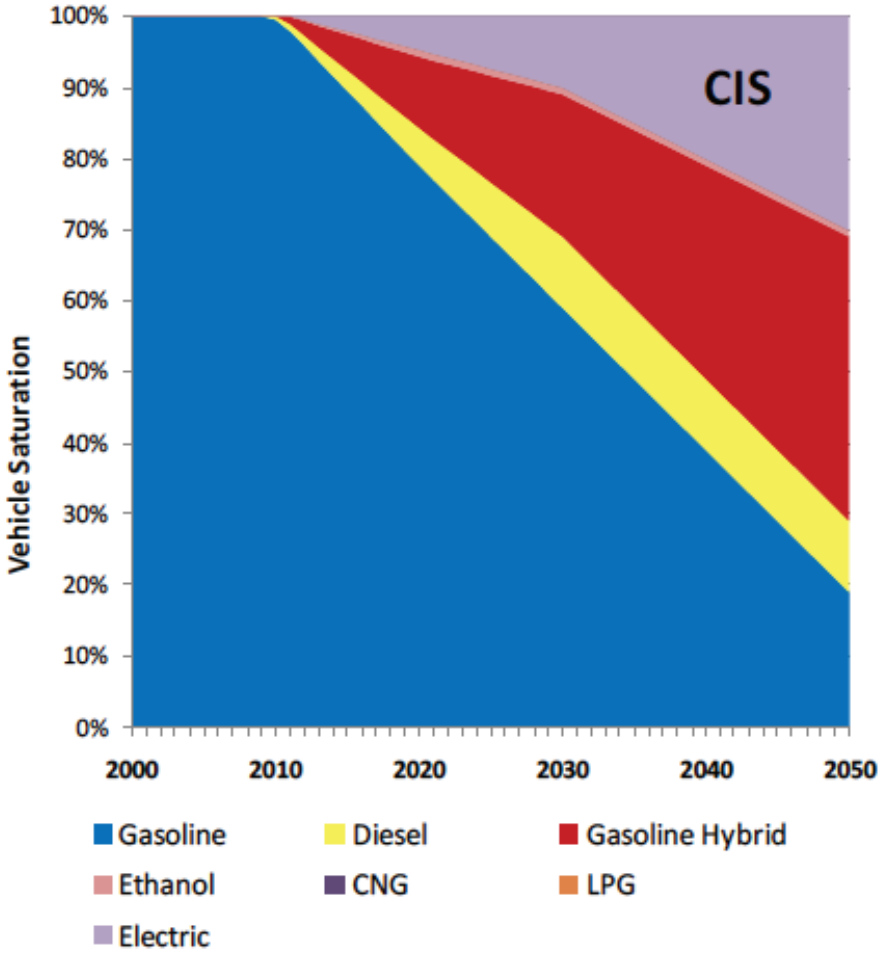


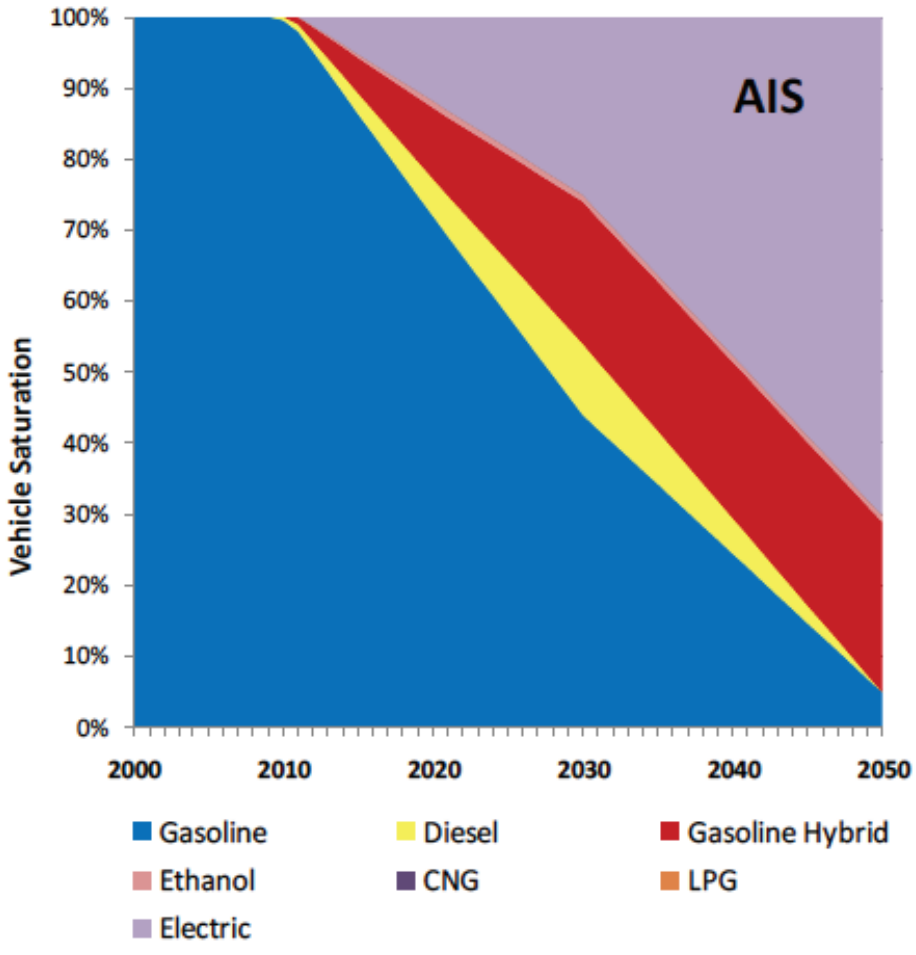
Source: Vaclav Smil Estimates from Energy Transitions

Chart 1 - U.S. Monthly PEV Sales



Source: hybridcars.com





HEVs, EVs projected to dominate transportation market in China by 2050

Zhou, Nan, David Fridley, Michael McNeil, Nina Zheng, Jing Ke, and Mark Levine. "China's Energy and Carbon Emissions Outlook to 2050," Lawrence Berkeley National Laboratory Tech Report LBNL-4472E (2011)

Energy Crisis Solutions

Electrified Transportation	Smart Grids
(e.g., hybrid vehicles)	(e.g., demand response)

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1. Electrochemical-based Battery SOC/SOH Estimation
2. PHEV Energy Management for Battery Health
3. Velocity Forecasting for Predictive Energy Management
4. Upcoming Work

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The Battery Problem

Needs: Cheap, high energy, high power, long life

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Some Motivating Facts

EV Batts	1000 USD / kWh (2010)*
	485 USD / kWh (2012)*
	125 USD / kWh for parity to IC engine
	Only 50-80% of available capacity is used
	Range anxiety inhibits adoption
	Lifetime risks caused by fast charging

*Source: MIT Technology Review, "The Electric Car is Here to Stay." (2013)

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Two Solutions

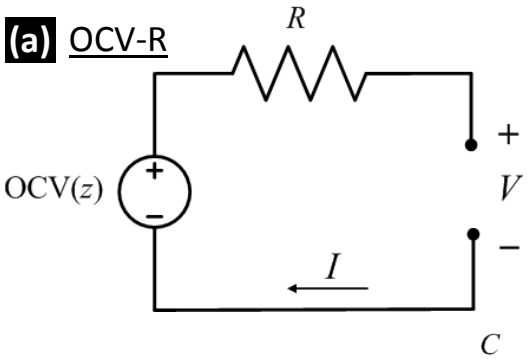
Design better batteries (materials science & chemistry)	Make current batteries better (estimation and control)

*Source: MIT Technology Review, "The Electric Car is Here to Stay." (2013)

Battery Models

Equivalent Circuit Model

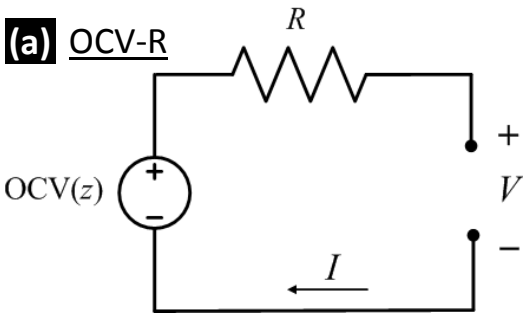
(a) OCV-R



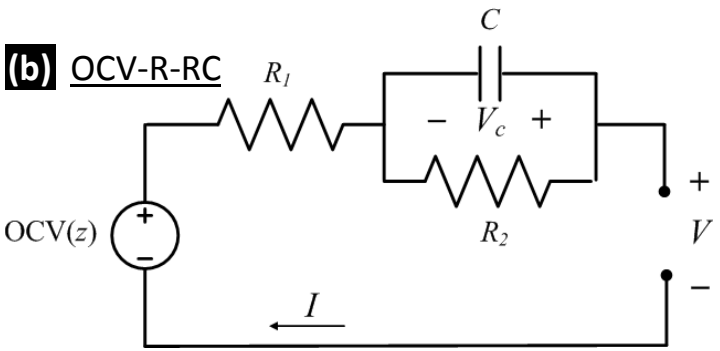
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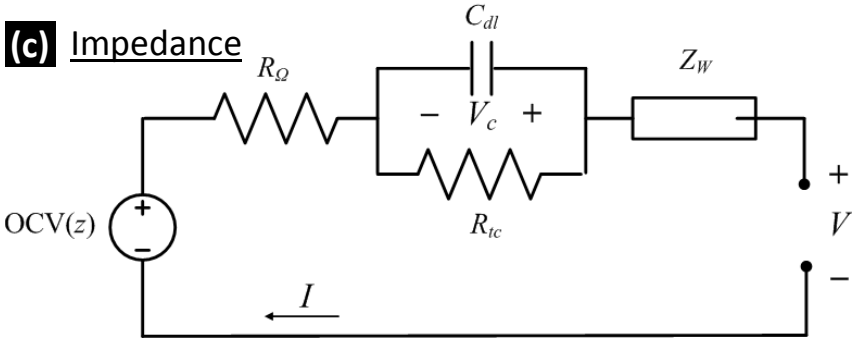
(a) OCV-R



(b) OCV-R-RC

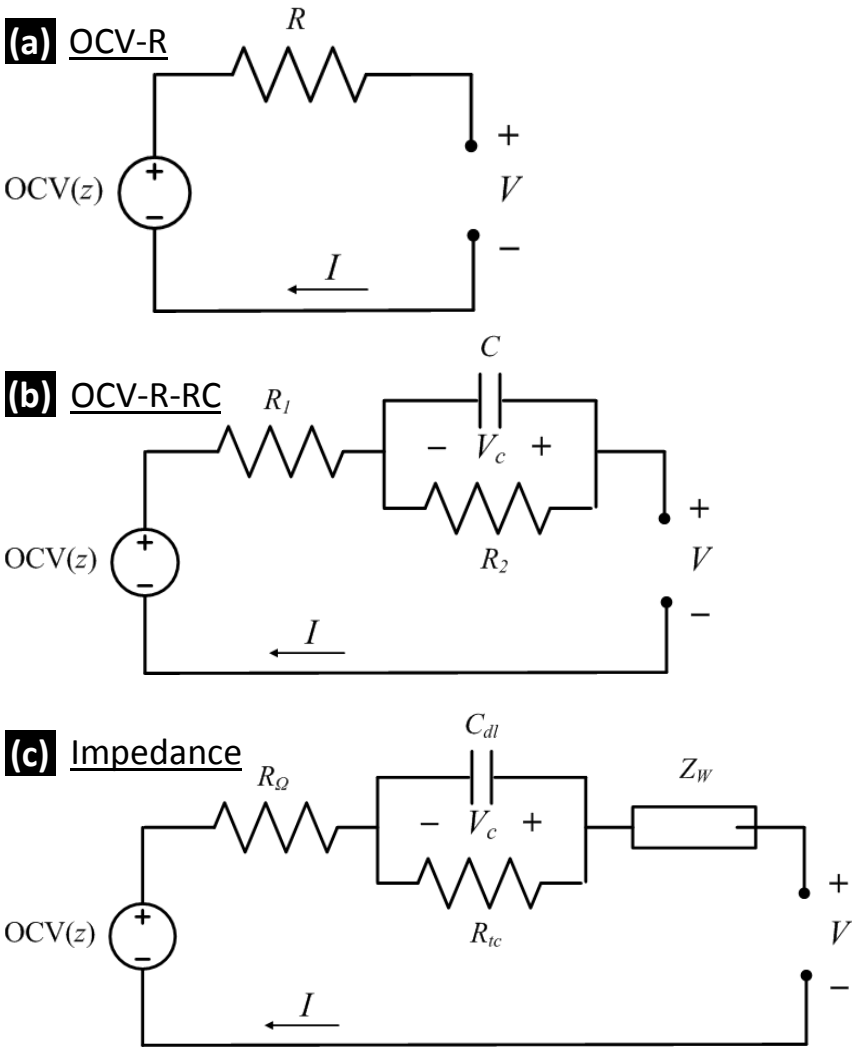


(c) Impedance

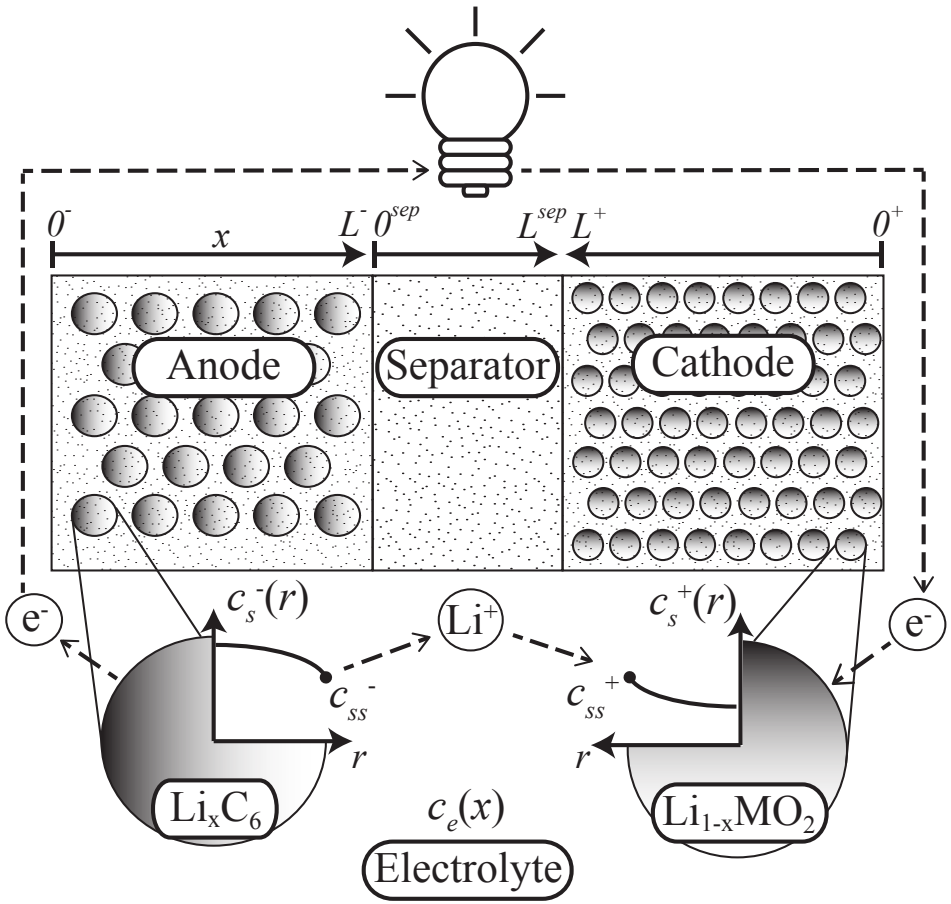


Battery Models

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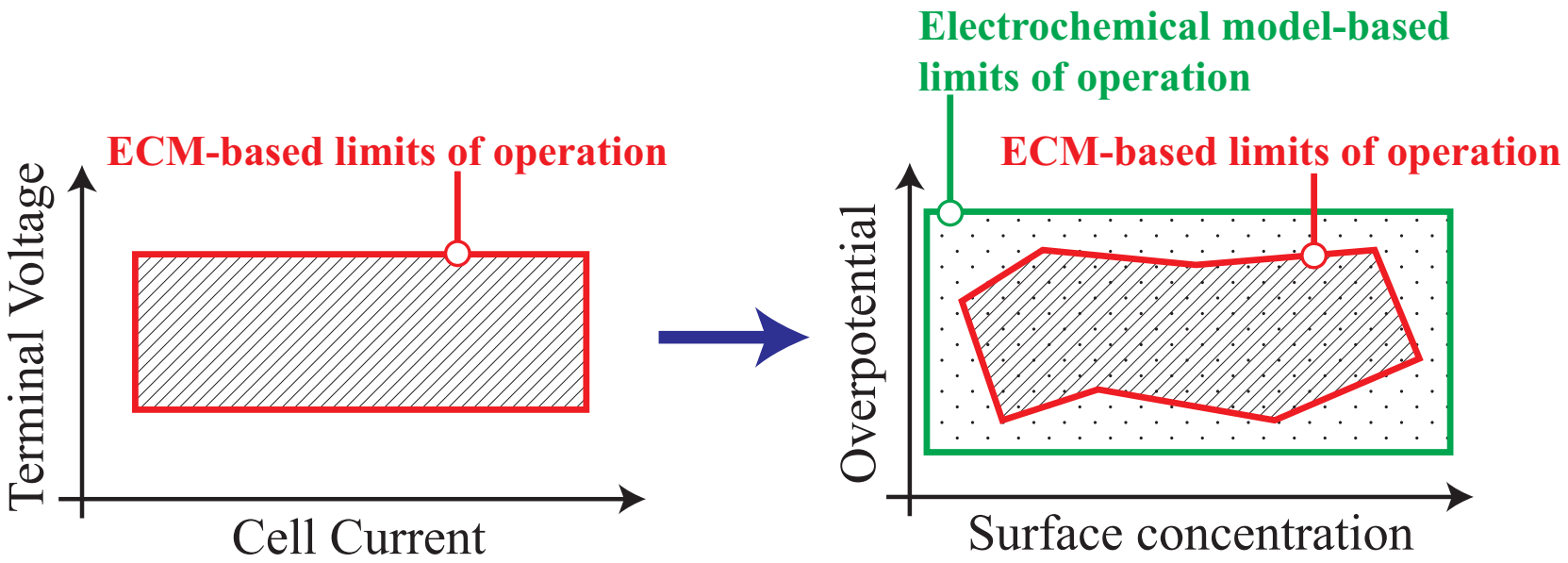


Electrochemical Model





Operate Batteries at their Physical Limits



Electrochemical Model Equations

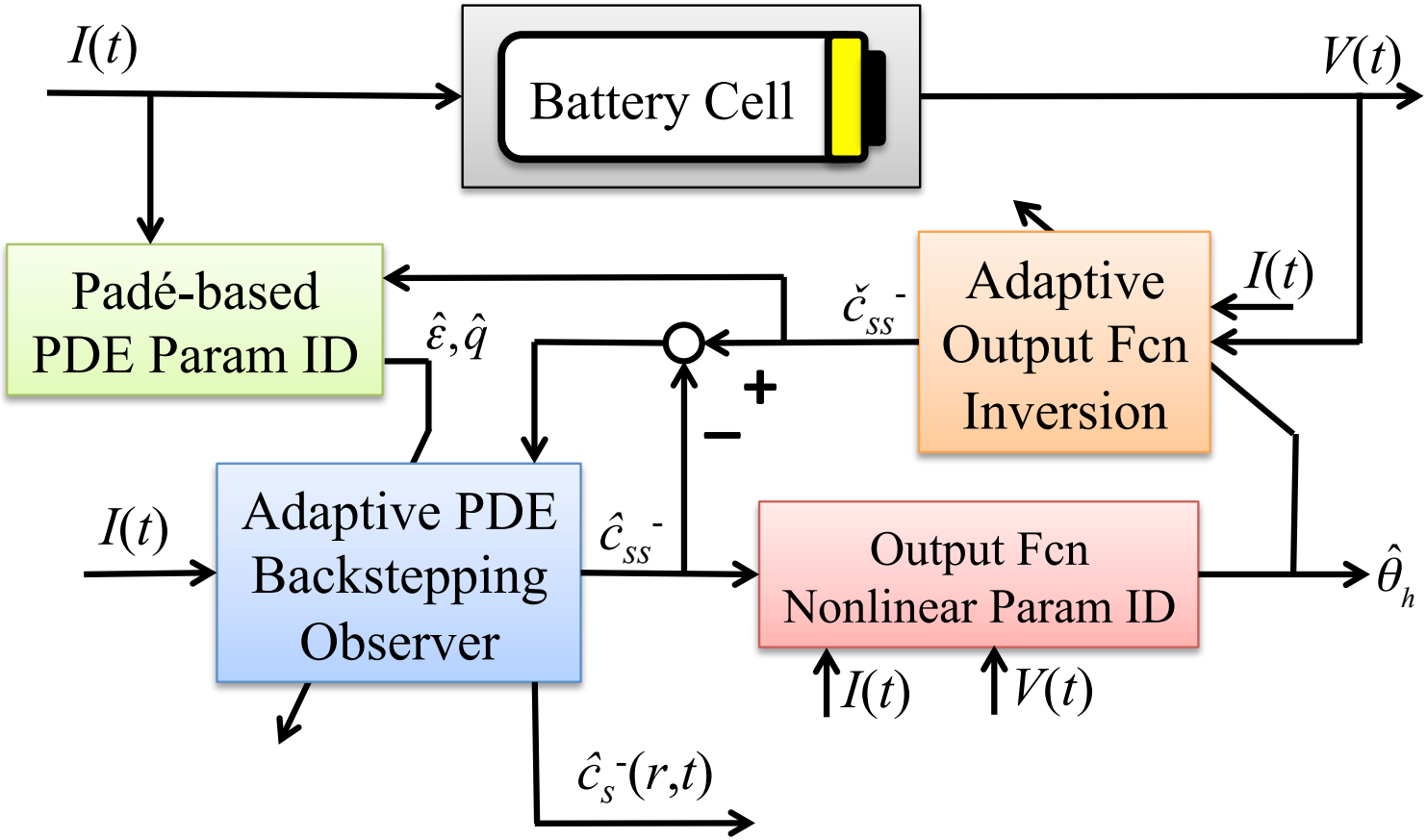
well, some of them

Description	**Equation**
Solid phase Li concentration	$\frac{\partial c_s^{\pm}}{\partial t}(x,r,t) = \frac{1}{r^2}\frac{\partial}{\partial r}\left[D_s^{\pm} r^2 \frac{\partial c_s^{\pm}}{\partial r}(x,r,t)\right]$
Electrolyte Li concentration	$\varepsilon_e \frac{\partial c_e}{\partial t}(x,t) = \frac{\partial}{\partial x}\left[\varepsilon_e D_e \frac{\partial c_e}{\partial x}(x,t) + \frac{1-t_c^0}{F} i_e^{\pm}(x,t)\right]$
Solid potential	$\frac{\partial \phi_s^{\pm}}{\partial x}(x,t) = \frac{i_e^{\pm}(x,t) - I(t)}{\sigma^{\pm}}$
Electrolyte potential	$\frac{\partial \phi_e}{\partial x}(x,t) = -\frac{i_e^{\pm}(x,t)}{\kappa} + \frac{2RT}{F}(1-t_c^0)\left(1 + \frac{d\ln f_{c/a}}{d\ln c_e}(x,t)\right)\frac{\partial \ln c_e}{\partial x}(x,t)$
Electrolyte ionic current	$\frac{\partial i_e^{\pm}}{\partial x}(x,t) = a_s F j_n^{\pm}(x,t)$
Molar flux btw phases	$j_n^{\pm}(x,t) = \frac{1}{F} i_0^{\pm}(x,t)\left[e^{\frac{\alpha_a F}{RT}\eta^{\pm}(x,t)} - e^{-\frac{\alpha_c F}{RT}\eta^{\pm}(x,t)}\right]$
Temperature	$\rho c_P \frac{dT}{dt}(t) = h\left[T^0(t) - T(t)\right] + I(t)V(t) - \int_{0^-}^{0^+} a_s F j_n(x,t)\Delta T(x,t)dx$

Animation of Li Ion Evolution

Adaptive Observer

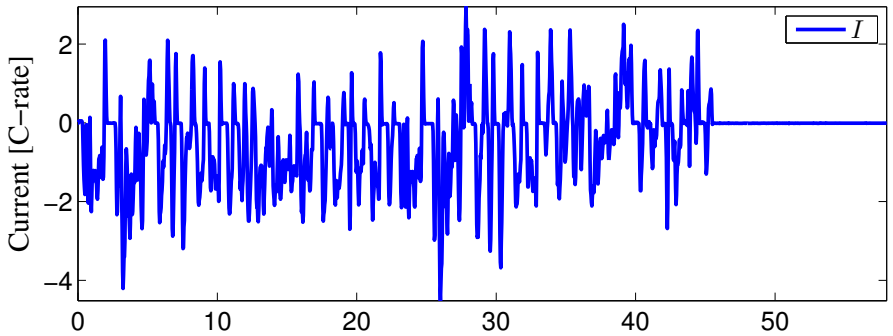
Combined State & Parameter Estimation



S. J. Moura, N. A. Chaturvedi, M. Krstic, "Adaptive PDE Observer for Battery SOC/SOH Estimation via an Electrochemical Model," *ASME Journal of Dynamic Systems, Measurement, and Control*, 2013.

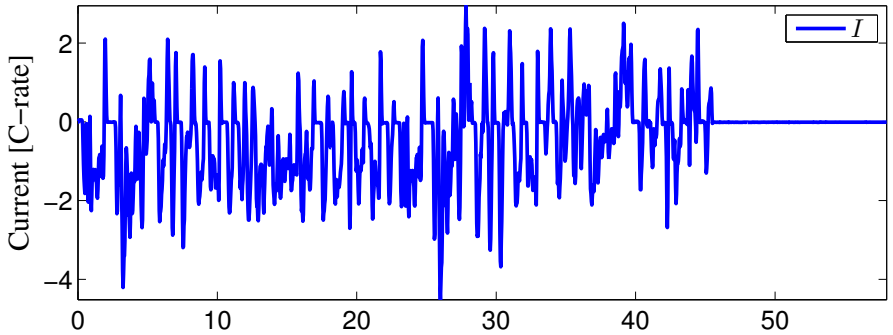
Results

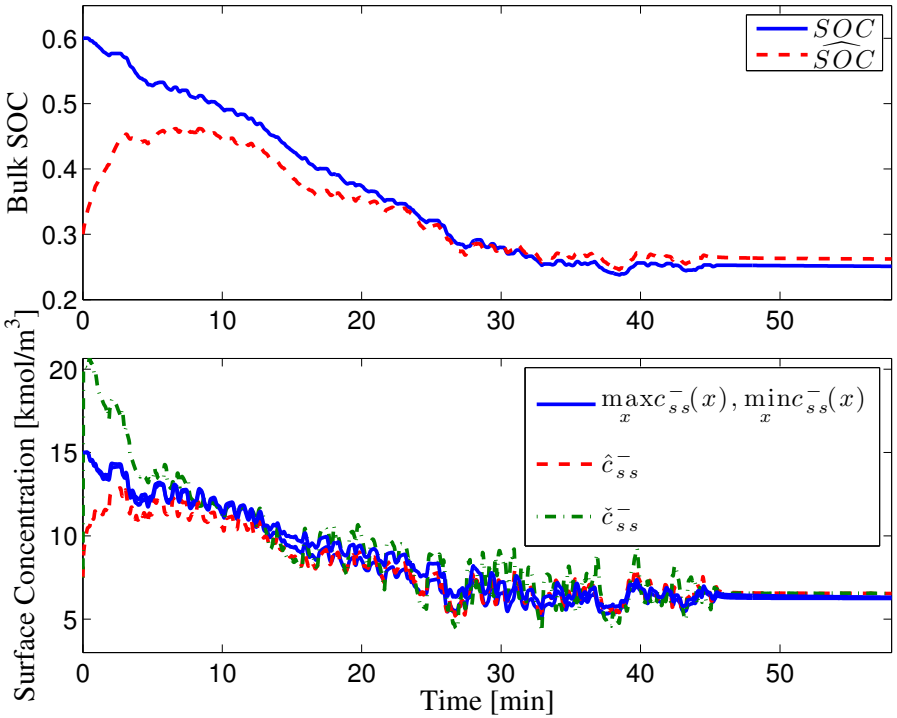
UDDS Drive Cycle Input



Results

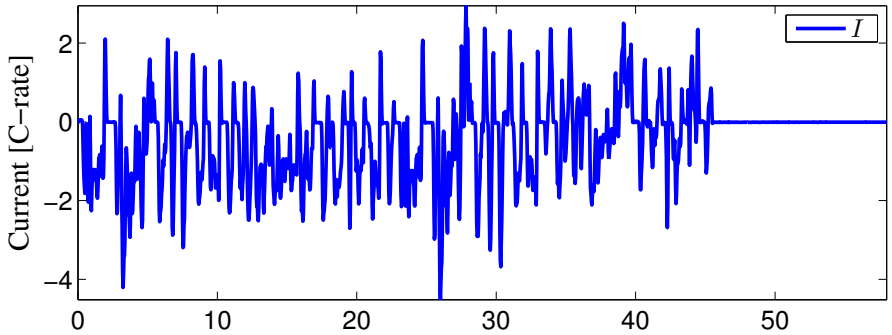
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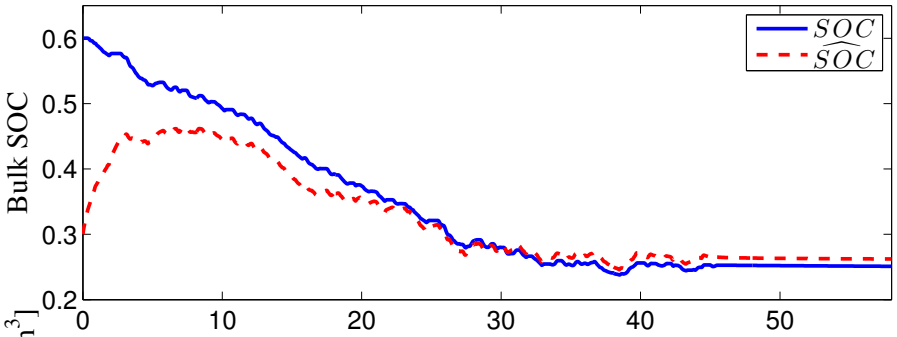


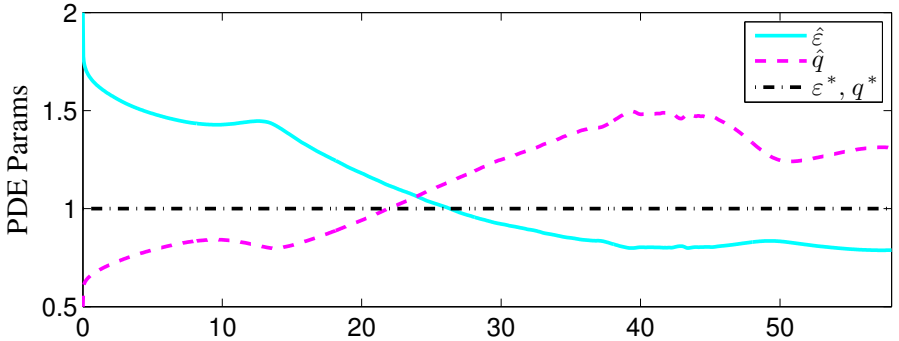


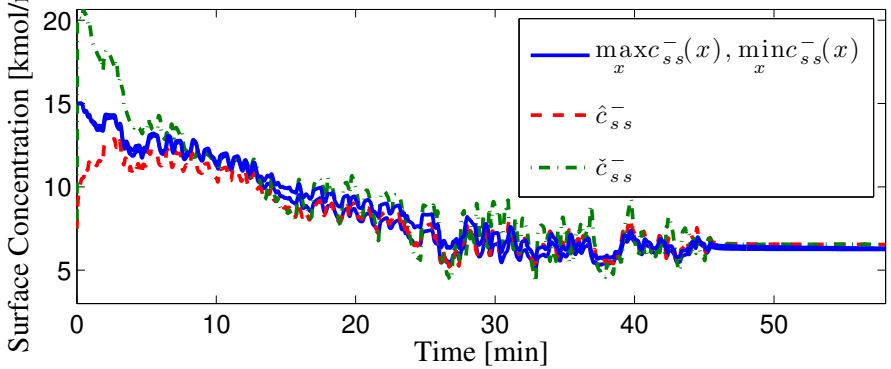
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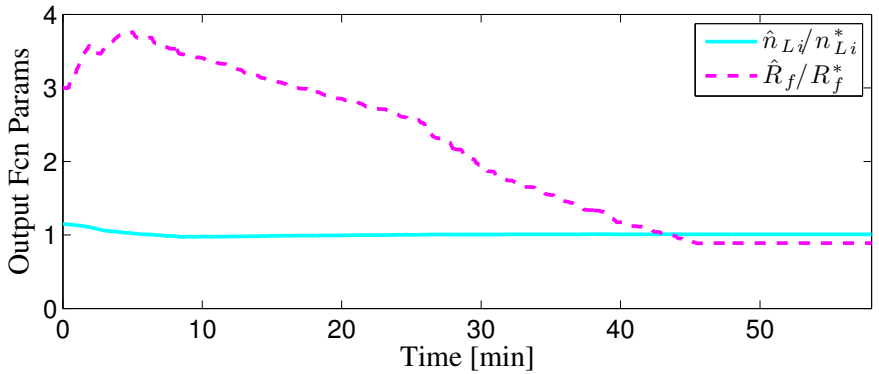
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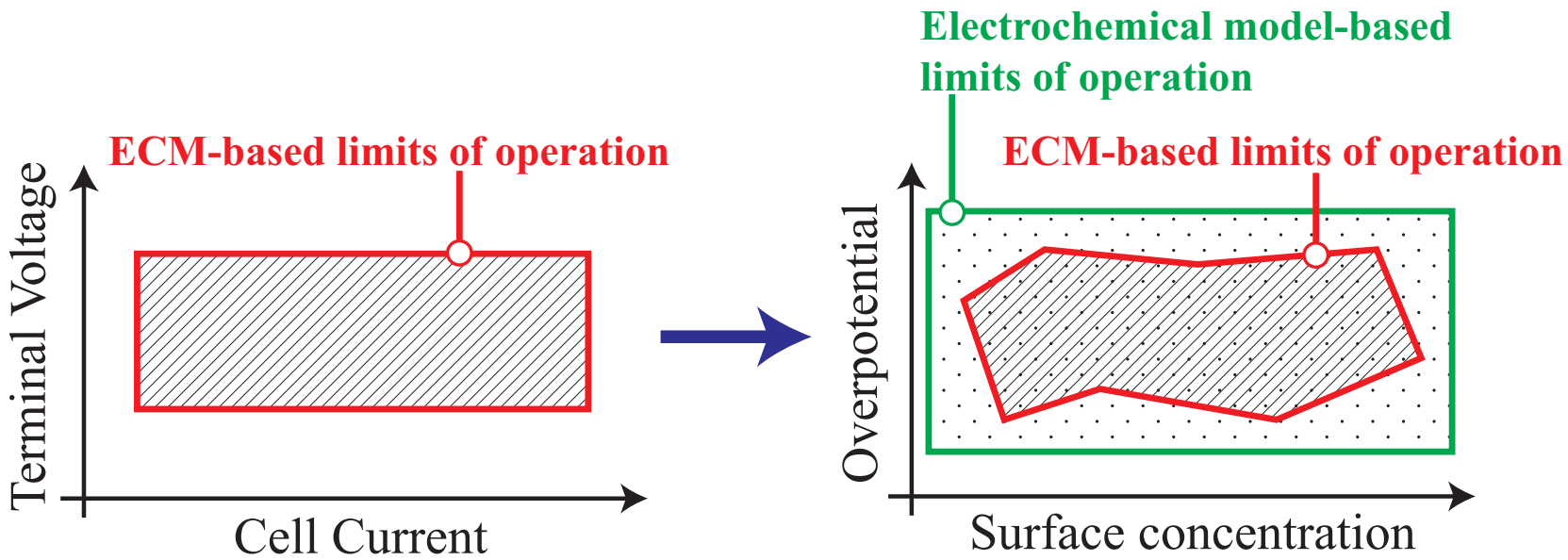




Operate Batteries at their Physical Limits

Problem Statement

Given accurate state estimates, govern the electric current such that safe operating constraints are satisfied.

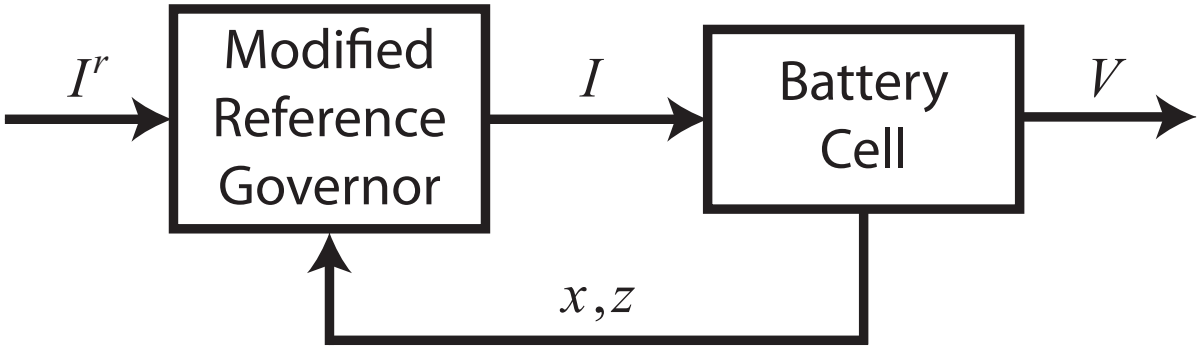


Constraints

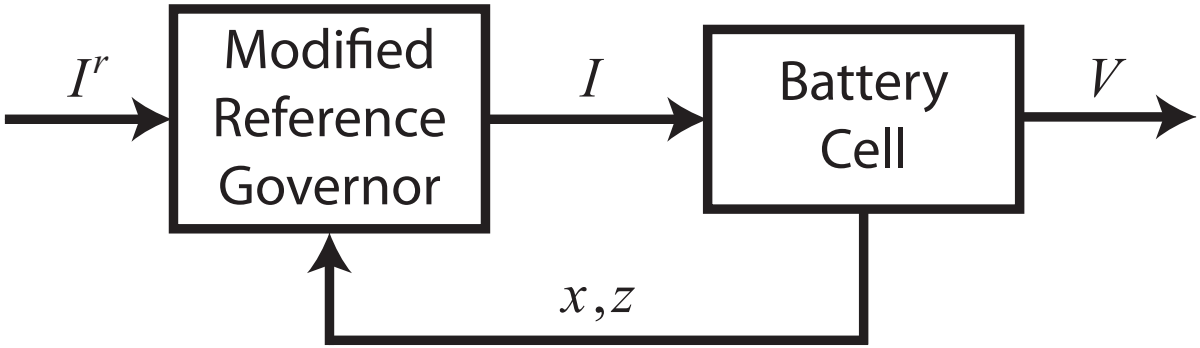
Variable	Definition	Constraint
$I(t)$	Current	Power electronics limits
$c_s^{\pm}(x,r,t)$	Li concentration in solid	Saturation/depletion
$\frac{\partial c_s^{\pm}}{\partial r}(x,r,t)$	Li concentration gradient	Diffusion-induced stress
$c_e(x,t)$	Li concentration in electrolyte	Saturation/depletion
$T(t)$	Temperature	High/low temps accel. aging
$\eta_s(x,t)$	Side-rxn overpotential	Li plating, dendrite formation

Each variable, y, must satisfy $y_{\min} \leq y \leq y_{\max}$.

The Algorithm: Modified Reference Governor (MRG)



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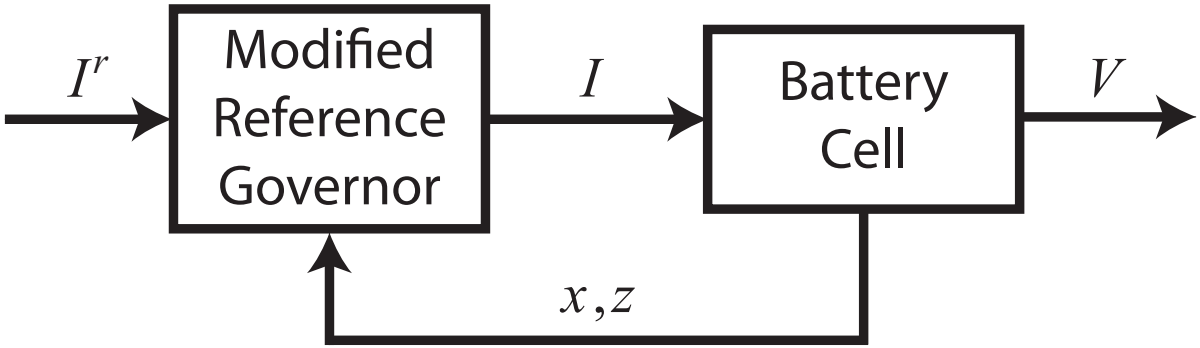


MRG Equations

$$I[k+1] = \beta^*[k] I^r[k], \qquad \beta^* \in [0,1],$$

$$\beta^*[k] = \max\{\beta \in [0,1] : (x(t), z(t)) \in \mathcal{O}\}$$

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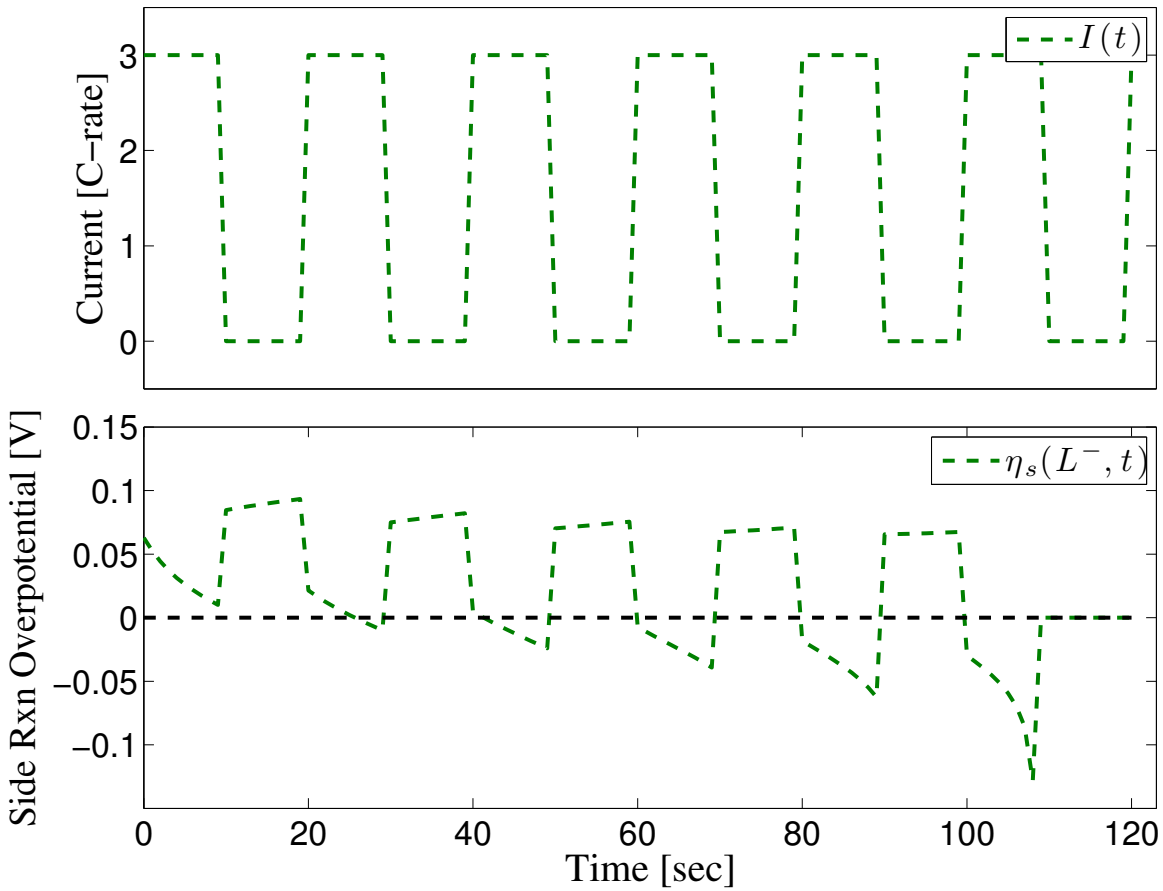
$$\beta^*[k] = \max\{\beta \in [0,1] : (x(t), z(t)) \in \mathcal{O}\}$$

Def'n: Admissible Set $\mathcal{O}$

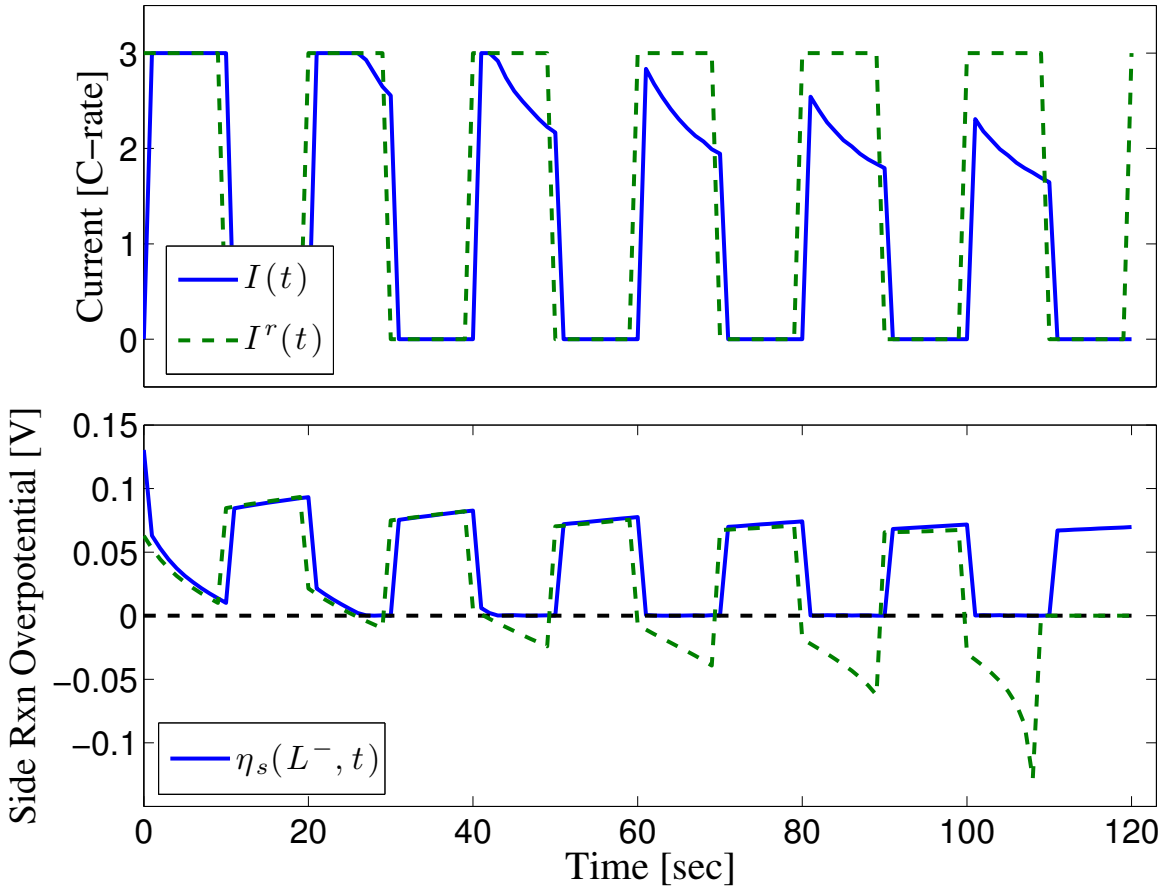
$$\mathcal{O} = \{(x(t), z(t)) : y(\tau) \in \mathcal{Y}, \forall \tau \in [t, t+T_s]\}$$

$$\begin{aligned} \dot{x}(t) &= f(x(t), z(t), \beta I^r) \\ 0 &= g(x(t), z(t), \beta I^r) \\ y(t) &= C_1 x(t) + C_2 z(t) + D \cdot \beta I^r + E \end{aligned}$$

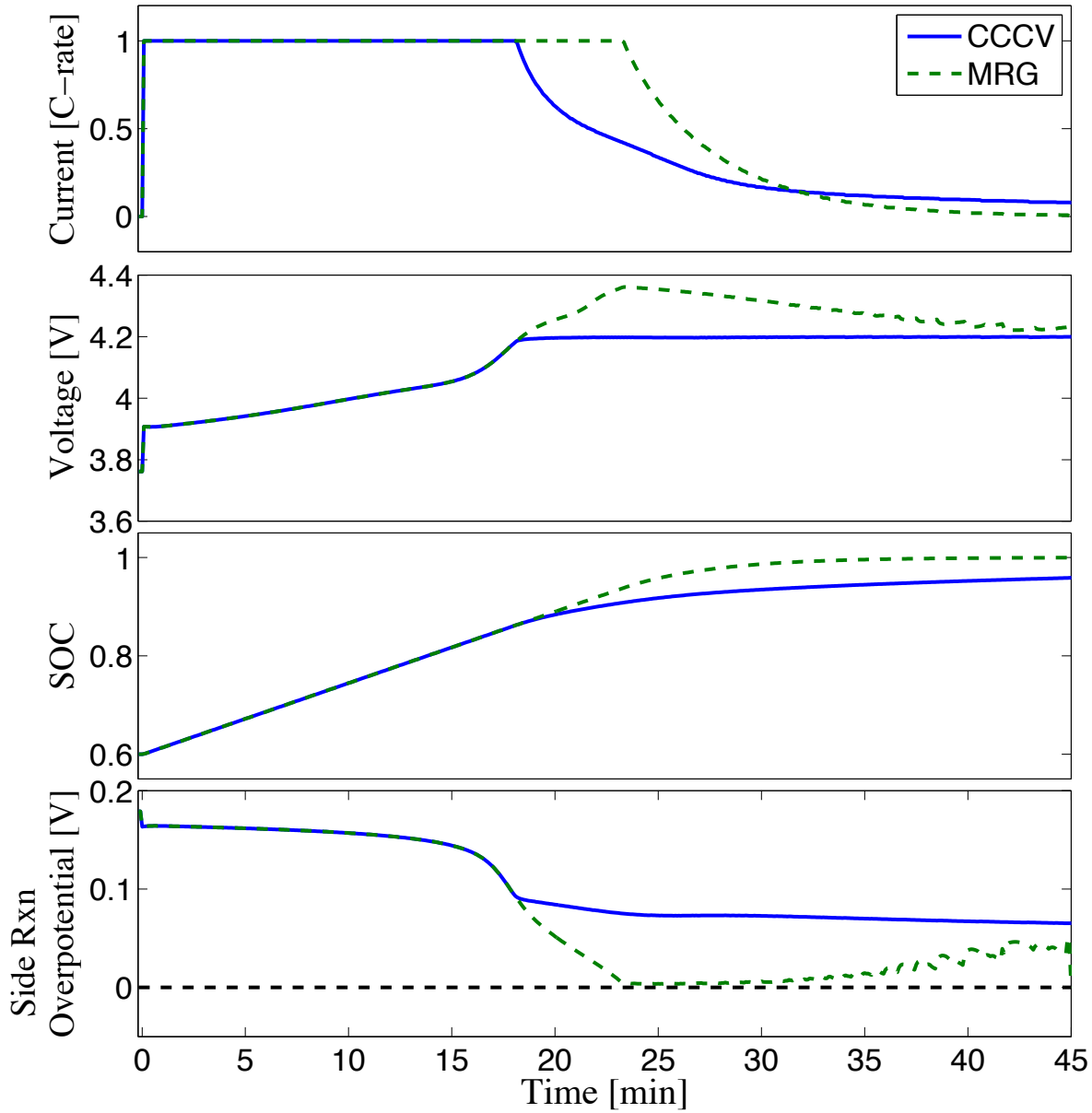
Constrained Control of EChem States



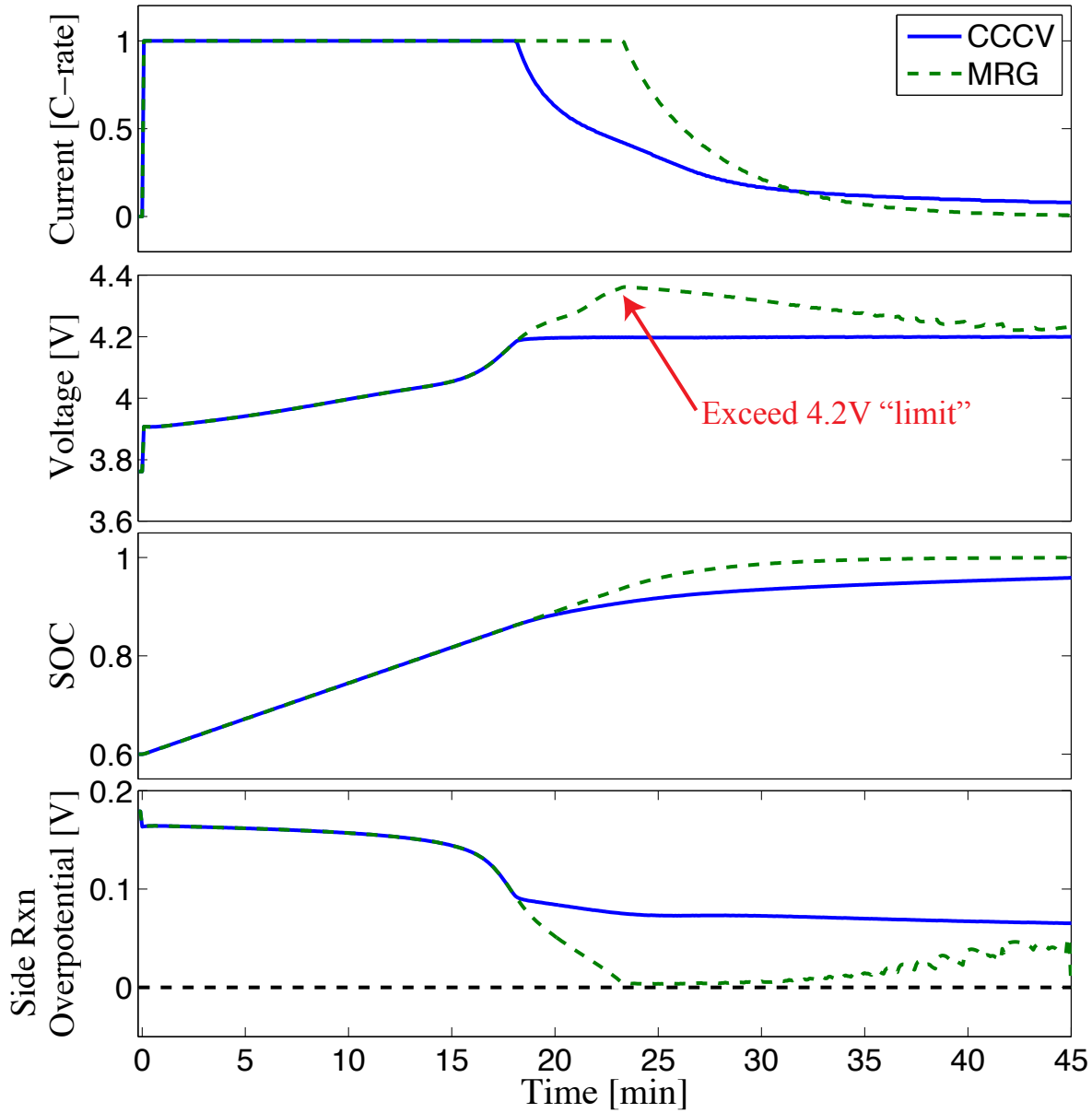
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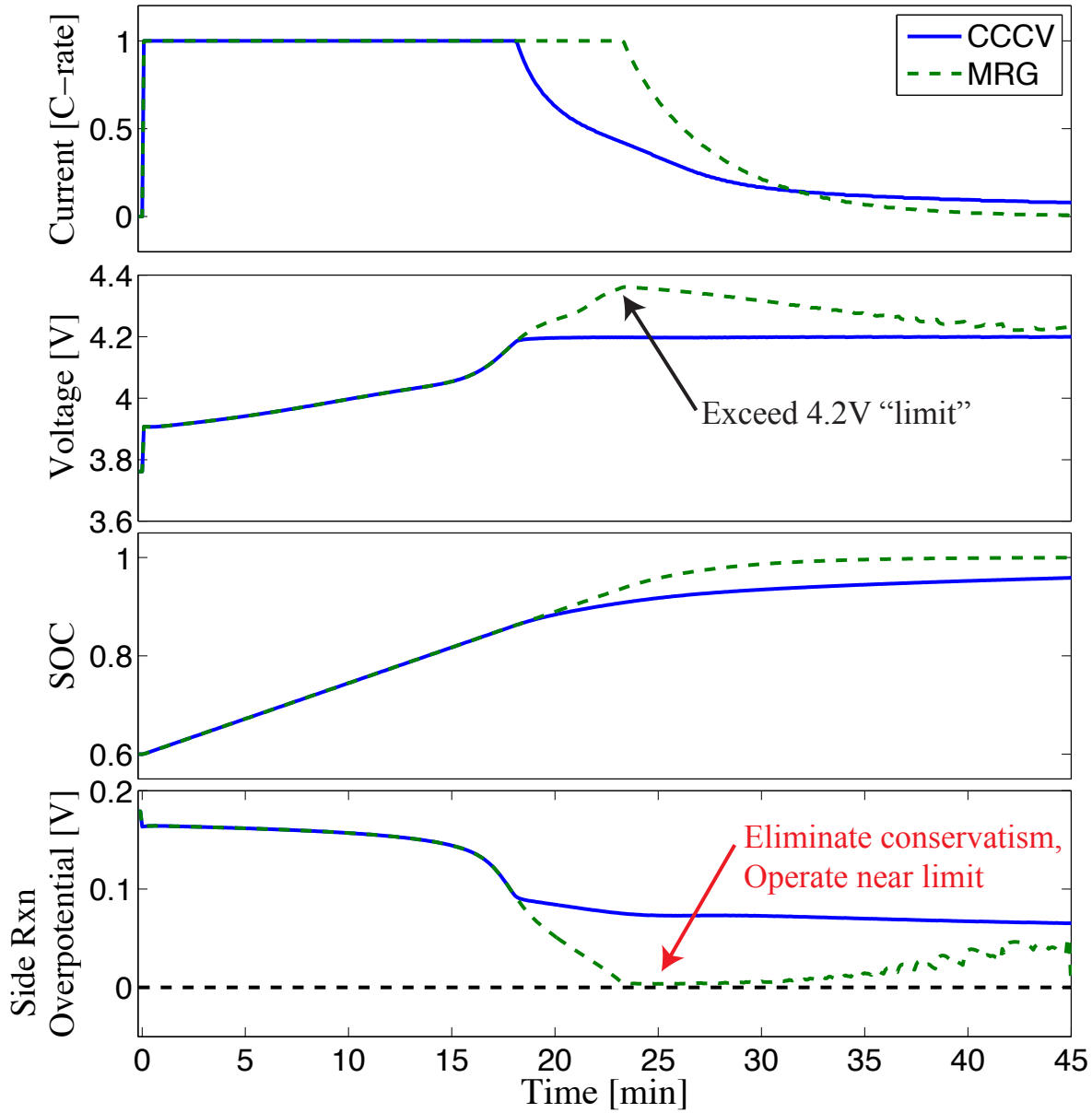
Application to Charging



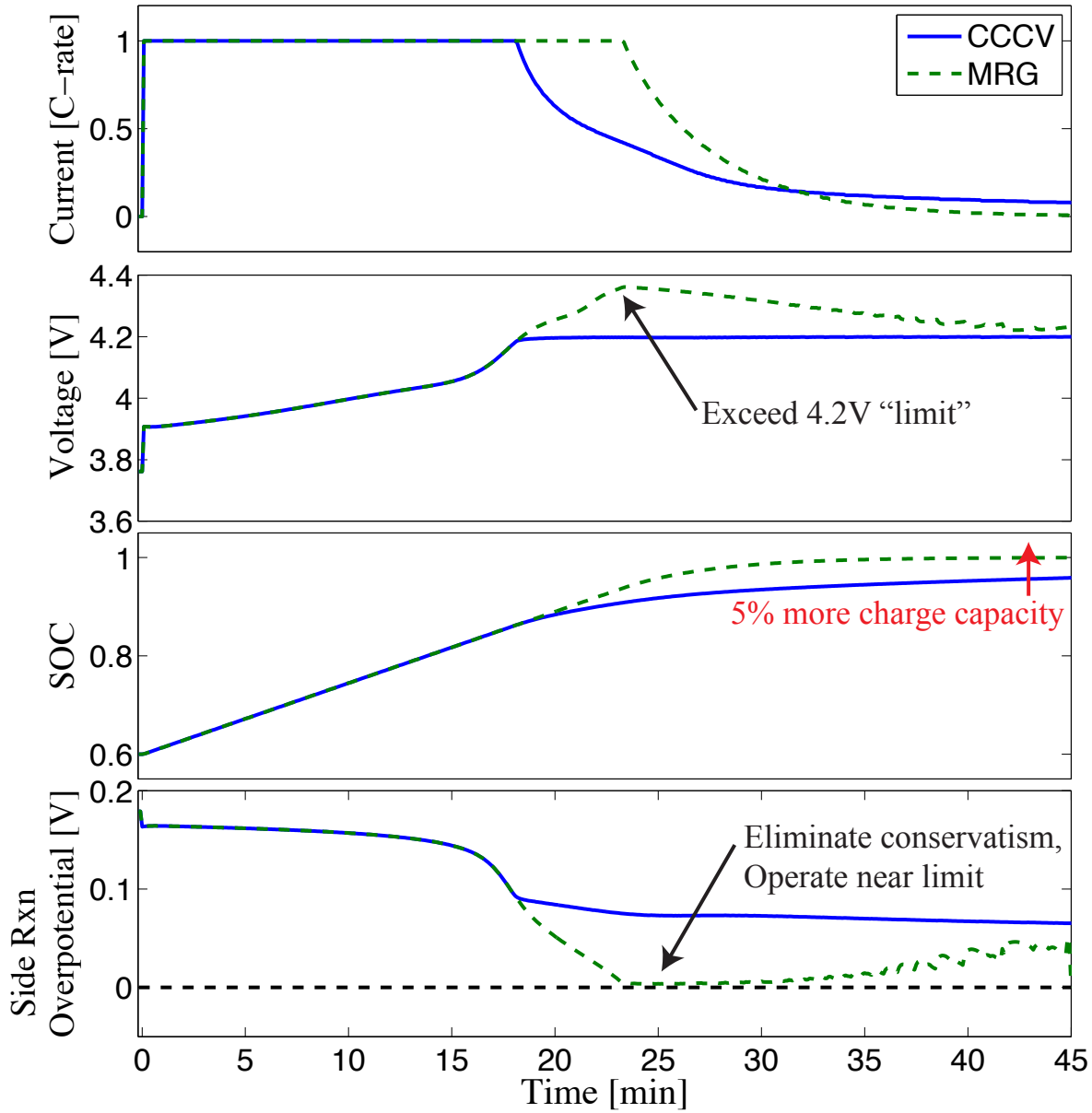
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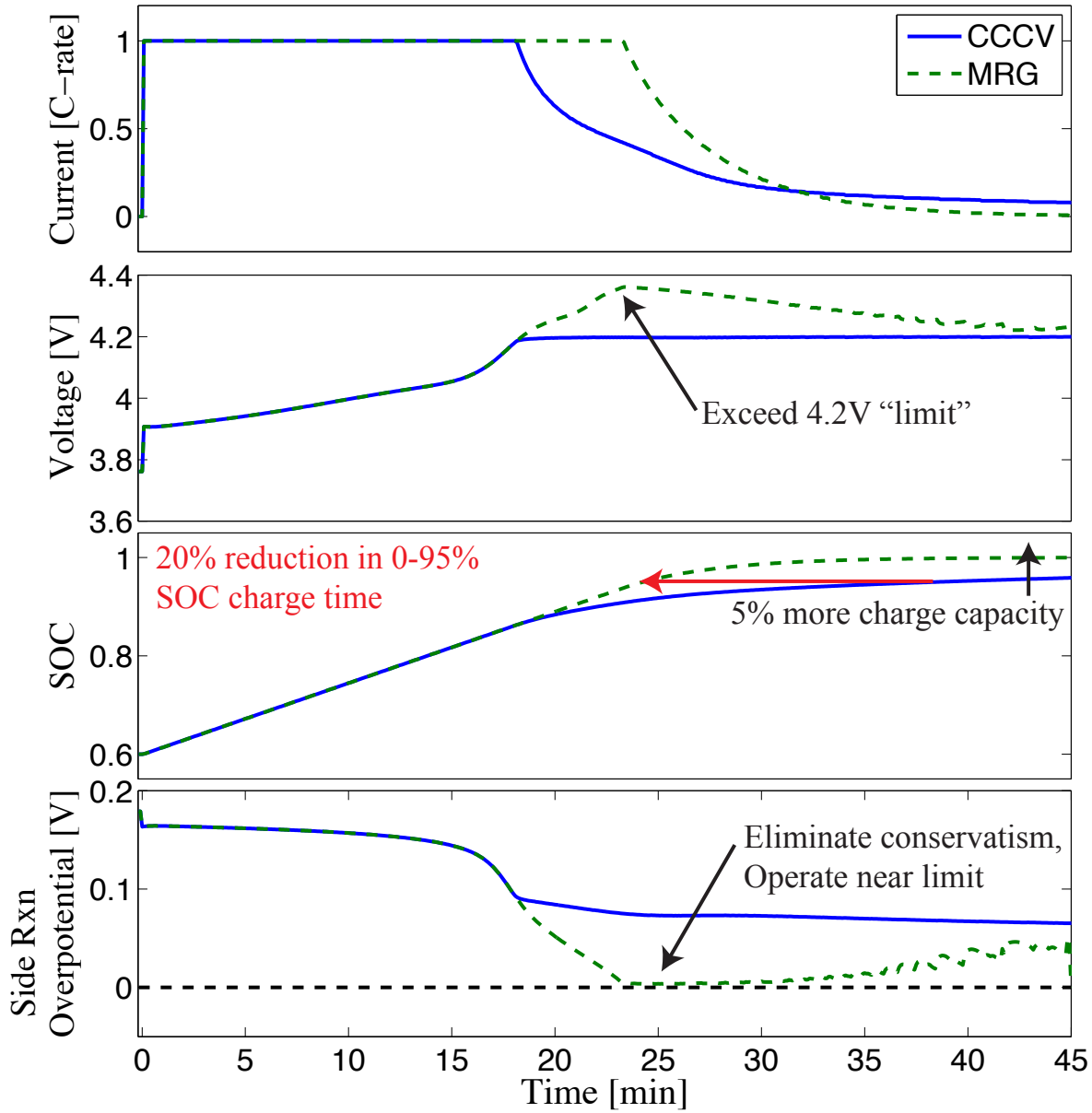
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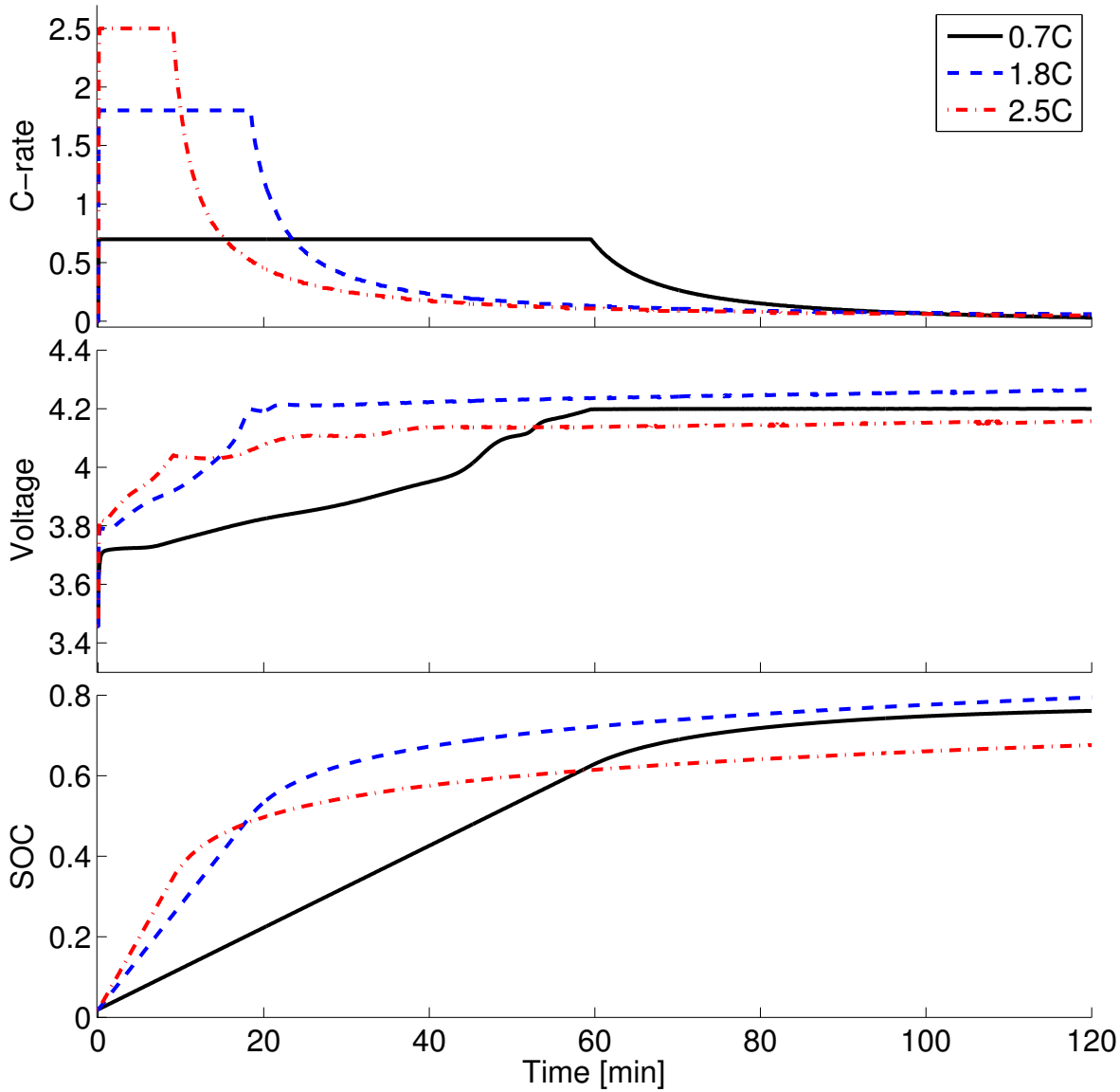
Application to Charging



Application to Charging



Fast Charging



Fast charge your smartphone/EV while getting coffee

Table: Simulated fast charge times for various C-rates

Charge range	**0.7C**	**1.8C**	**2.5C**
0-10%	7.92 min	3.17 min	2.33 min
0-20%	17.83 min	7.00 min	5.08 min
0-50%	47.33 min	18.42 min	20.50 min

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1. Electrochemical-based Battery SOC/SOH Estimation
2. PHEV Energy Management for Battery Health
3. Velocity Forecasting for Predictive Energy Management
4. Upcoming Work

PHEV Power Management

Problem Statement

Design a supervisory control algorithm for plug-in hybrid electric vehicles (PHEVs) that splits engine and battery power in some optimal sense.



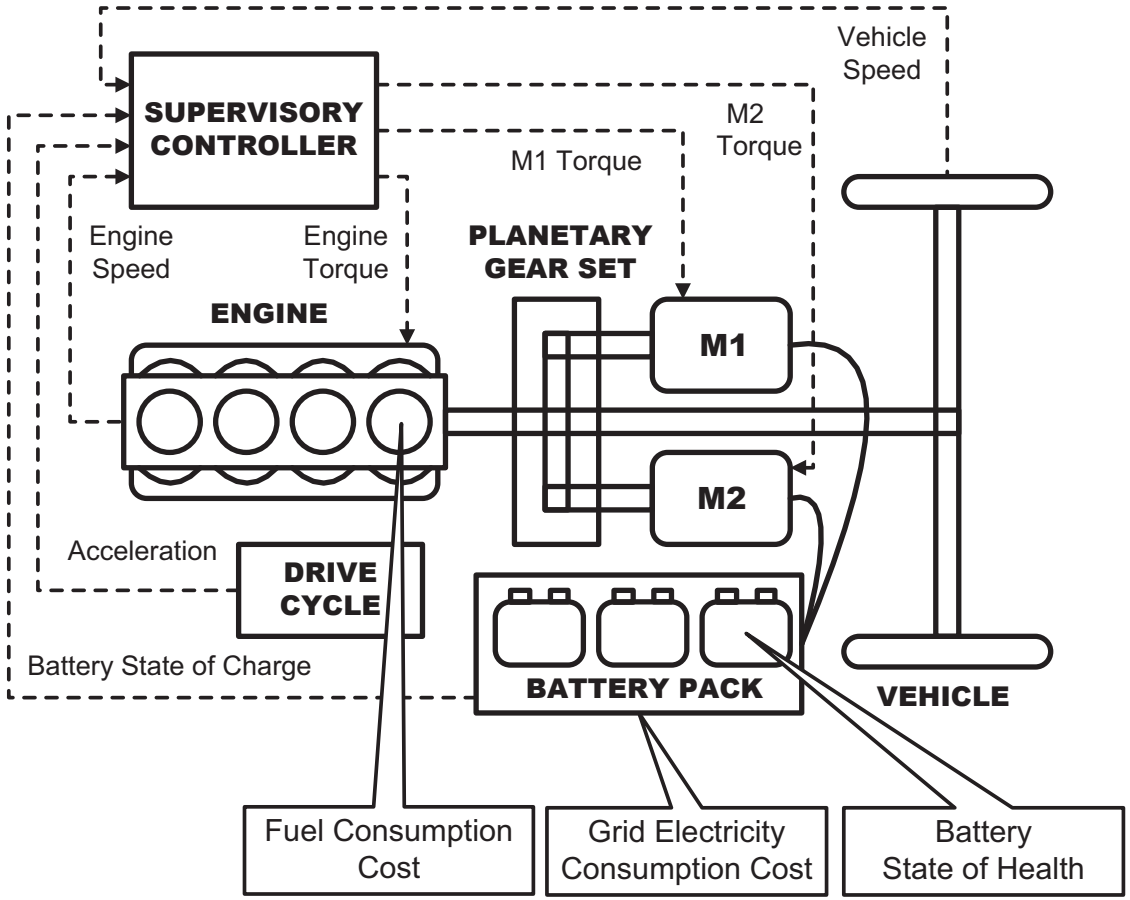
J. Voelcker, "Plugging Away in a Prius," *IEEE Spectrum*, vol. 45, pp. 30-48, 2008.



Power-Split PHEV Model

Ex: Toyota Prius, Ford Escape Hybrid

- Control Inputs
  - Engine Torque
  - M1 Torque
- State Variables
  - Engine speed
  - Vehicle speed
  - Battery SOC
  - Vehicle acceleration (Markov Chain)



Control Optimization: Minimize energy consumption cost AND battery aging

Stochastic Dynamic Programming

Cost Functional:

$$J^g = \lim_{N\to\infty} \mathrm{E}\left[\sum_{k=0}^{N} c(x_k, u_k)\right]$$

Constraints:

$$x_{k+1} = f(x_k, u_k, w_k)$$
$$x \in X$$
$$u \in U(x)$$

Objective:

$$g^* = \arg\min_{g\in G} J^g$$

Stochastic Dynamic Programming

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Cost per time step: Convex sum of energy cost and battery health

$$c(x_k, u_k) = \alpha \cdot c_E(x_k, u_k) + (1-\alpha) \cdot c_H(x_k, u_k)$$

Stochastic Dynamic Programming

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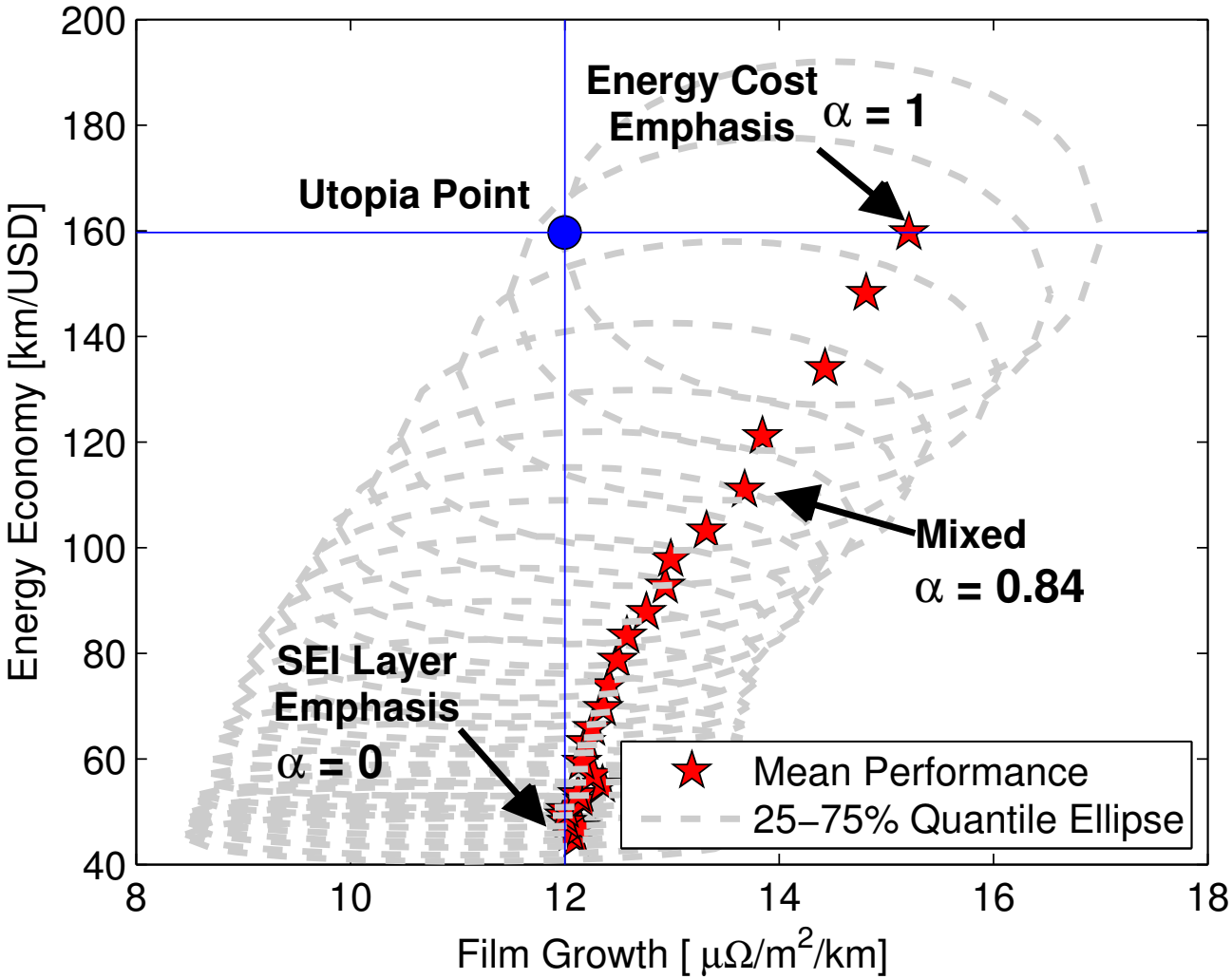
$$c(x_k, u_k) = \alpha \cdot c_E(x_k, u_k) + (1-\alpha) \cdot c_H(x_k, u_k)$$

Energy:
$$c_E(x_k, u_k) = \beta W_{fuel} + \frac{-V_{oc} Q_{batt} \dot{SOC}}{\eta_{EVSE}}$$

Health:
$$c_H(x_k, u_k) = \dot{\delta}_{film}(I, SOC)$$

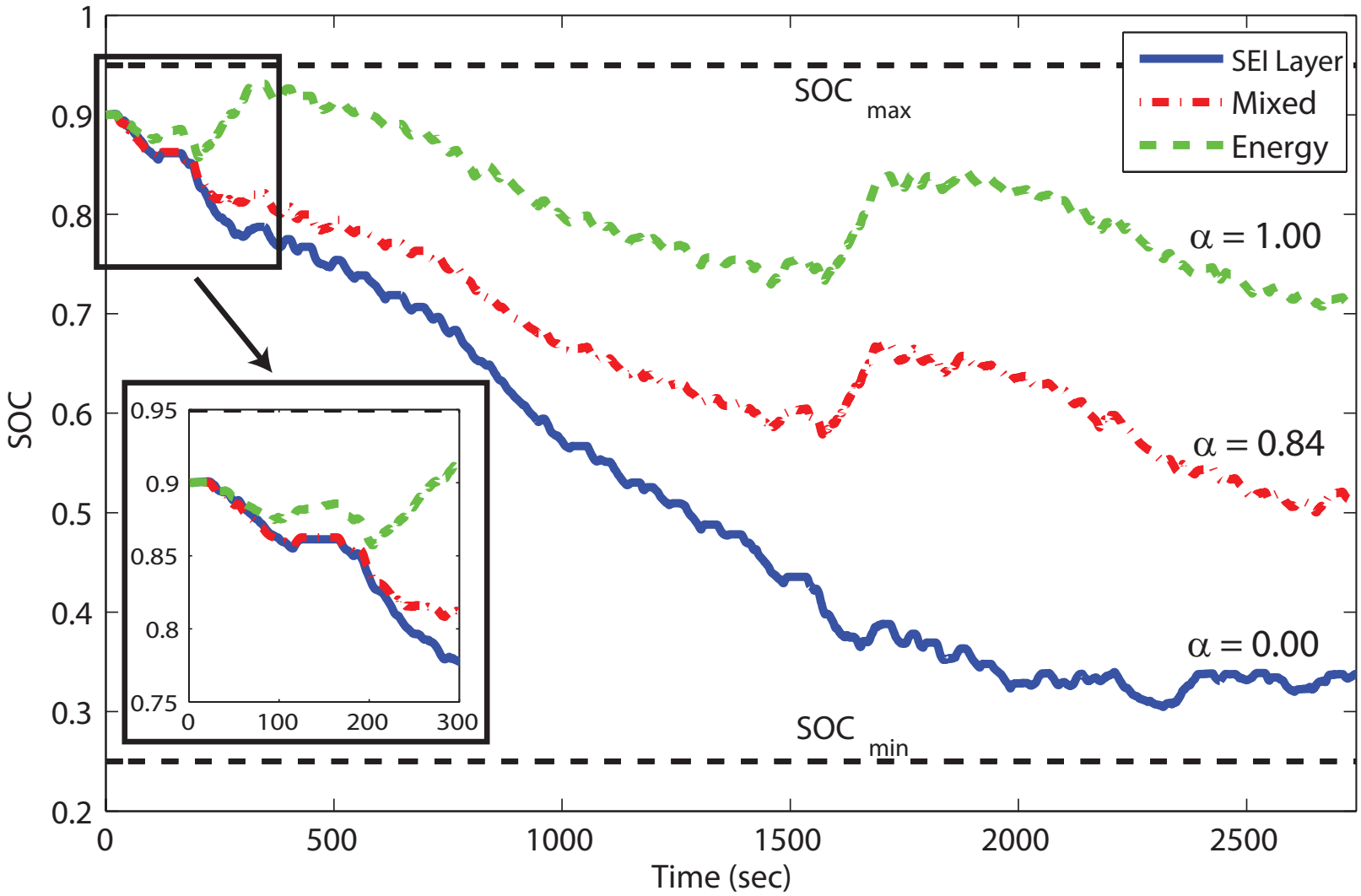
Pareto Set of Optimal Solutions

Anode-side SEI Layer Growth



SOC Trajectories

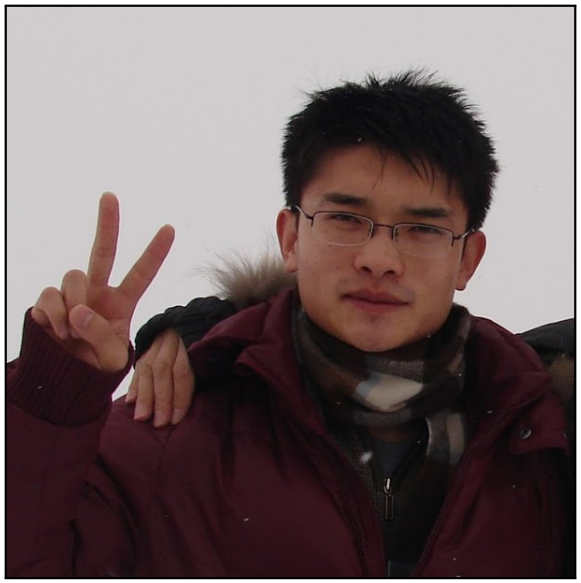
Anode-side SEI Layer Growth | UDDSx2



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Chao Sun



Ph.D. Candidate, Beijing Institute of Technology (since 9/2010)

Visiting Student Researcher, UC Berkeley (since 9/2012)

Co-advised with Prof. Karl Hedrick, ME

The Velocity Forecasting Problem

Fact: Given *perfect* drive cycle info, we can achieve provably optimal economy

The Velocity Forecasting Problem

Fact: Given *perfect* drive cycle info, we can achieve provably optimal economy

Reality: *Perfect* drive cycle info is *never* known a priori

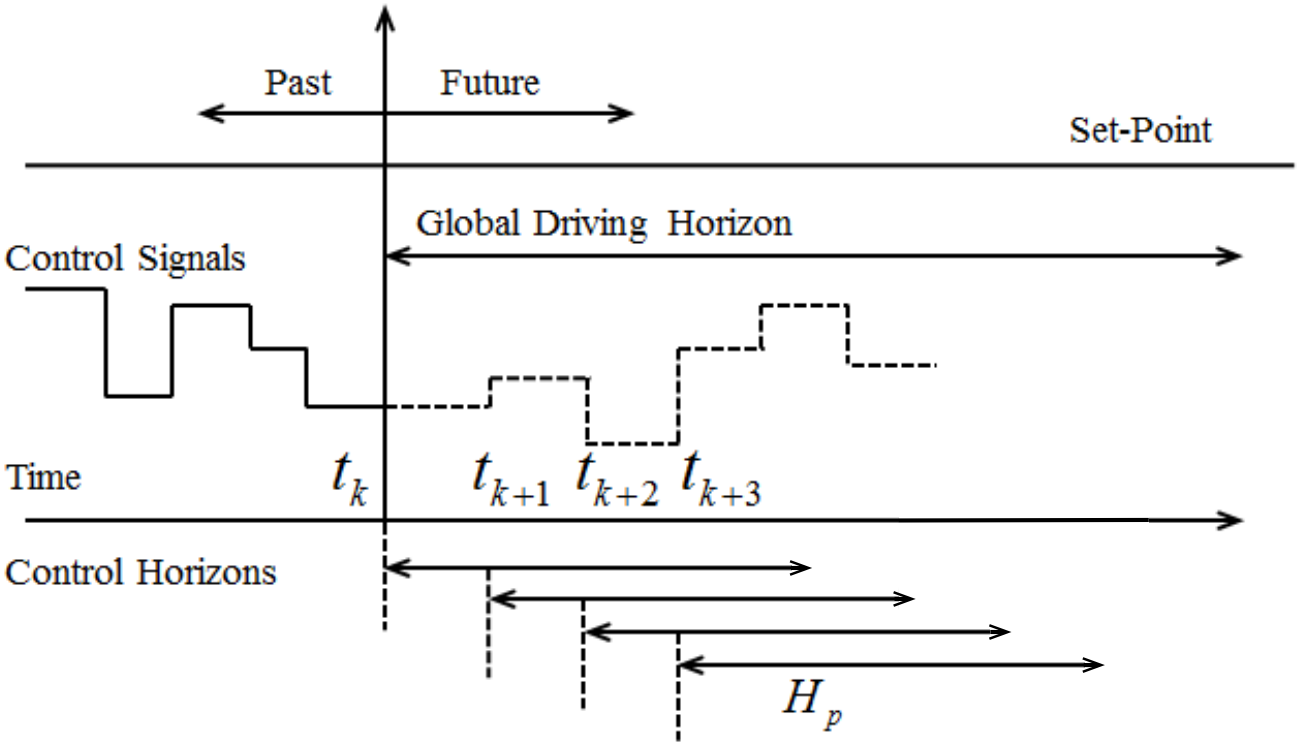
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Consider Model Predictive Control (MPC)

By forecasting velocity over a 'short' receding horizon, we can optimize economy



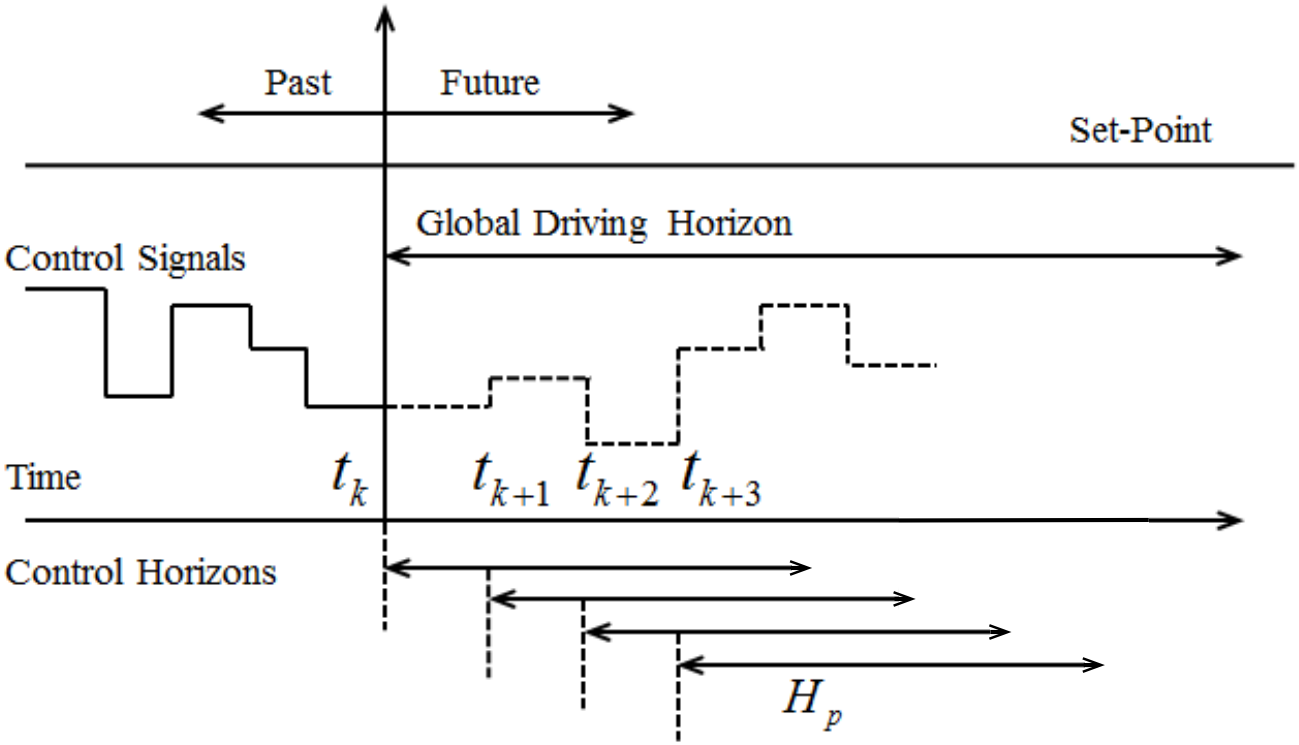
The Velocity Forecasting Problem

Fact: Given *perfect* drive cycle info, we can achieve provably optimal economy

Reality: *Perfect* drive cycle info is *never* known a priori

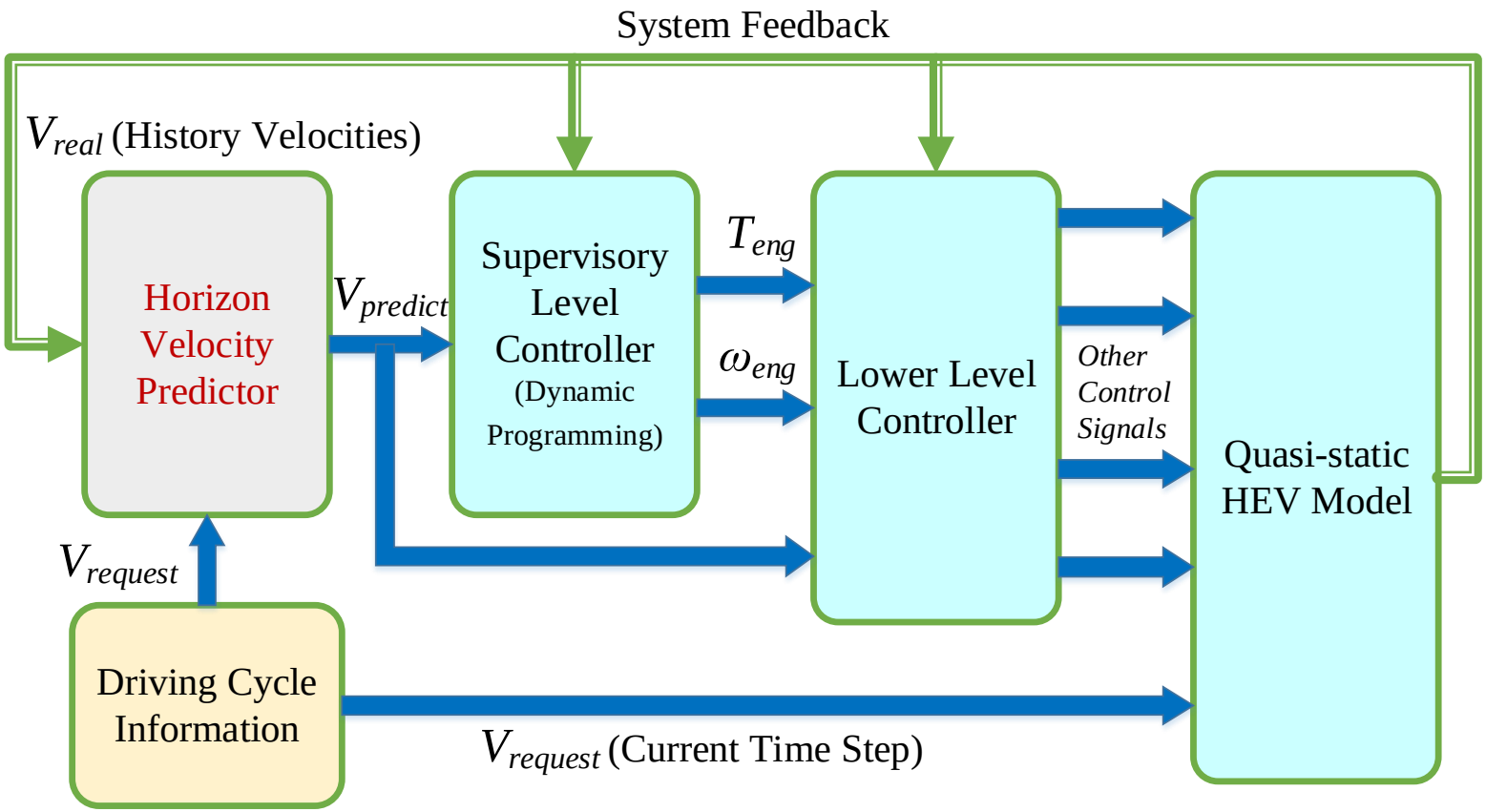
Consider Model Predictive Control (MPC)

By forecasting velocity over a 'short' receding horizon, we can optimize economy



Punchline: Better velocity forecasts produce lower fuel consumption and emissions

MPC Energy Management w/ Velocity Prediction



Candidate Velocity Predictors

1. Generalized exponentially varying
2. Markov chain models
3. Artificial Neural Networks

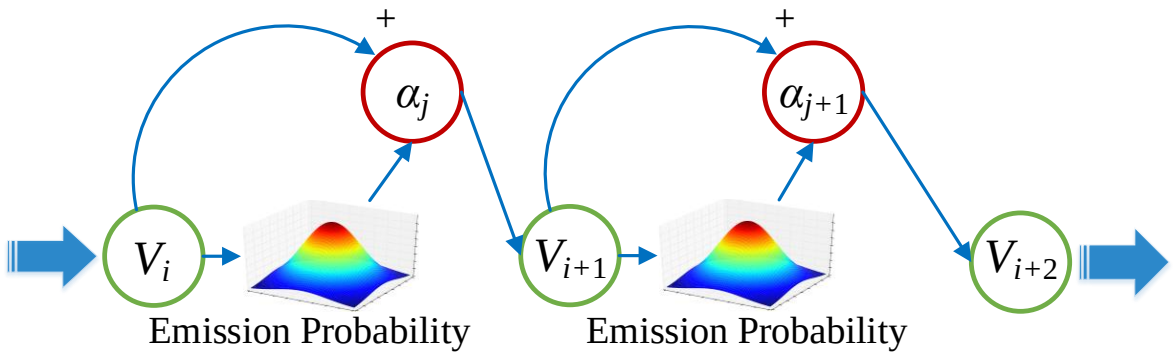
Candidate Velocity Predictors

1. Generalized exponentially varying
   - Generalization of a heuristic in the MPC energy management literature
2. Markov chain models
3. Artificial Neural Networks

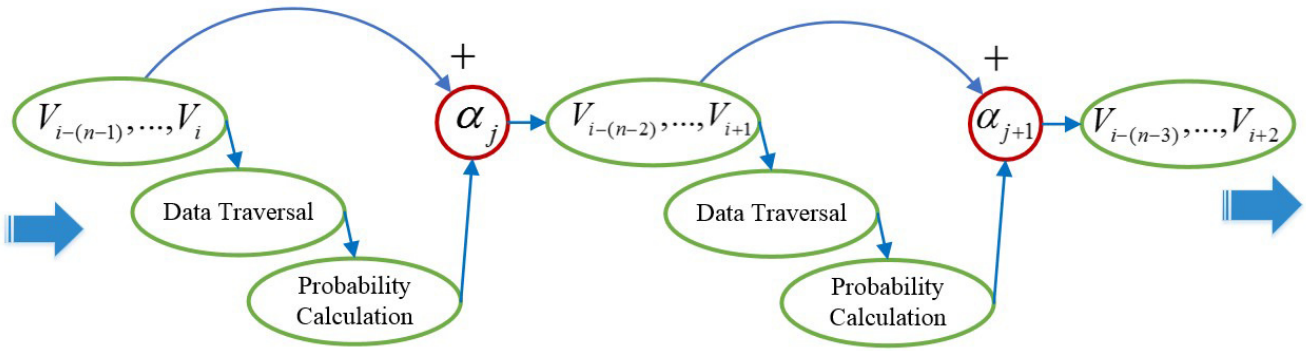
$$V_{k+n} = (1+\varepsilon)^n V_k, \text{ for } n = 1, 2, ..., H_p$$

Candidate Velocity Predictors

1. Generalized exponentially varying
2. Markov chain models
   - One-stage
   - Multi-stage
3. Artificial Neural Networks



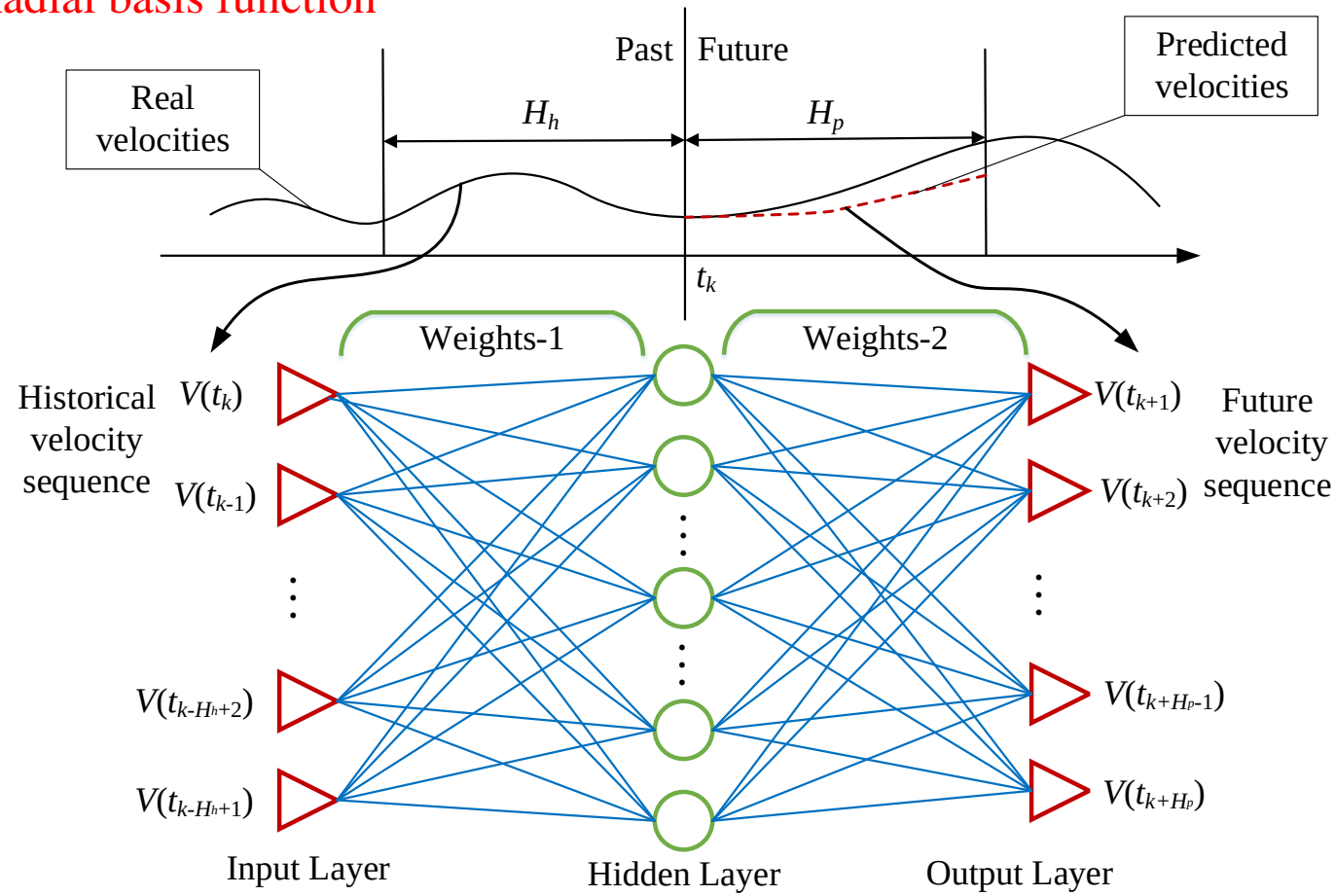
One-stage



Multi-stage

Candidate Velocity Predictors

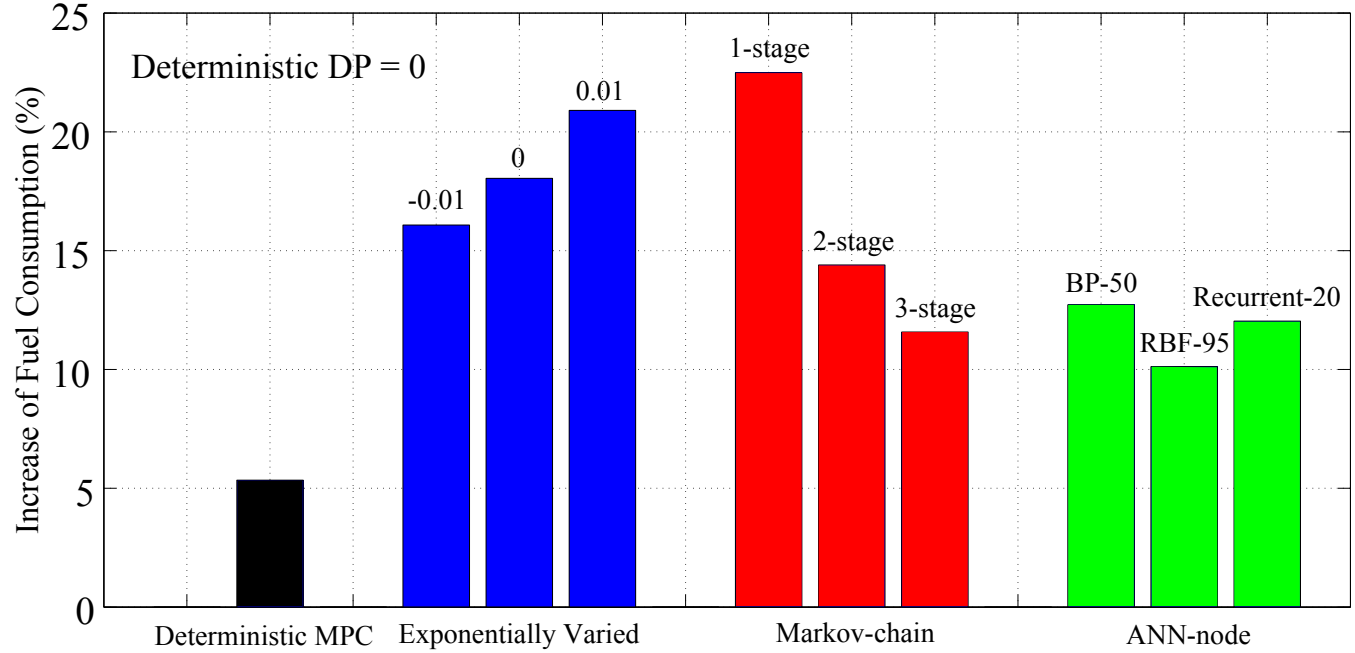
1. Generalized exponentially varying
2. Markov chain models
3. Artificial Neural Networks
   - Back propagation
   - Layer recurrent
   - Radial basis function

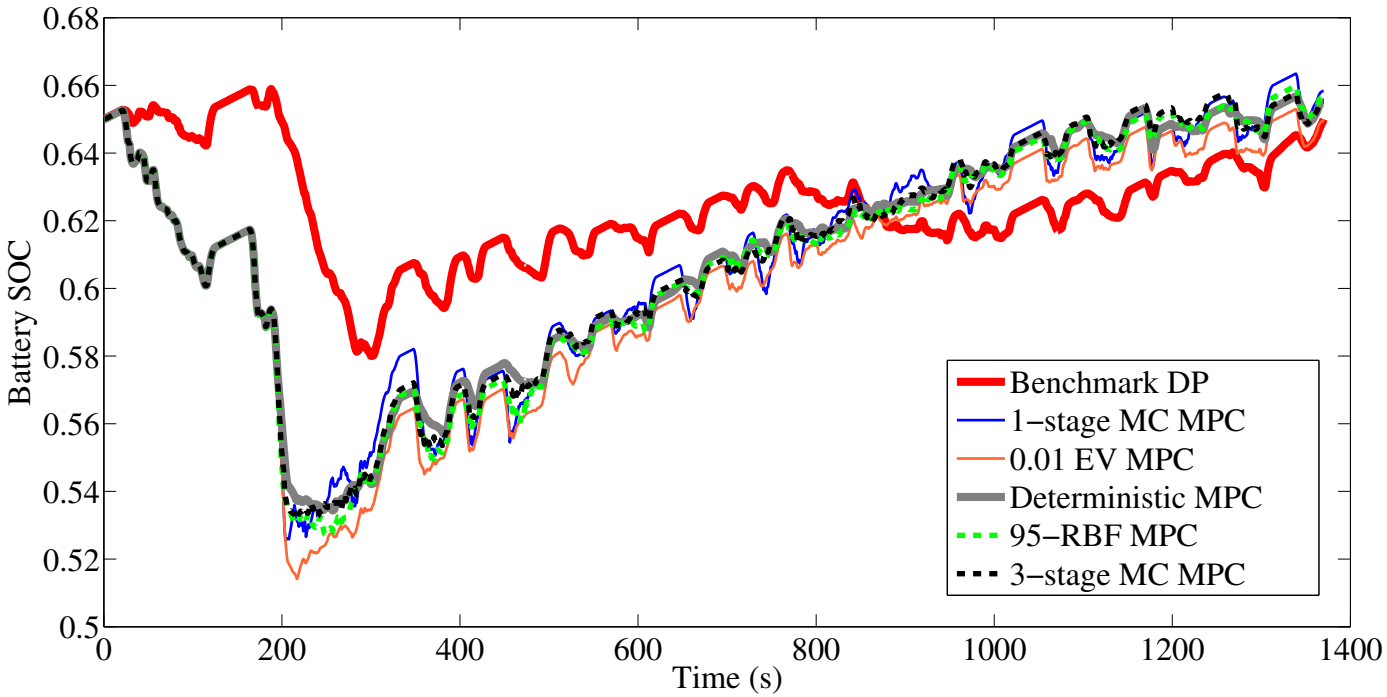


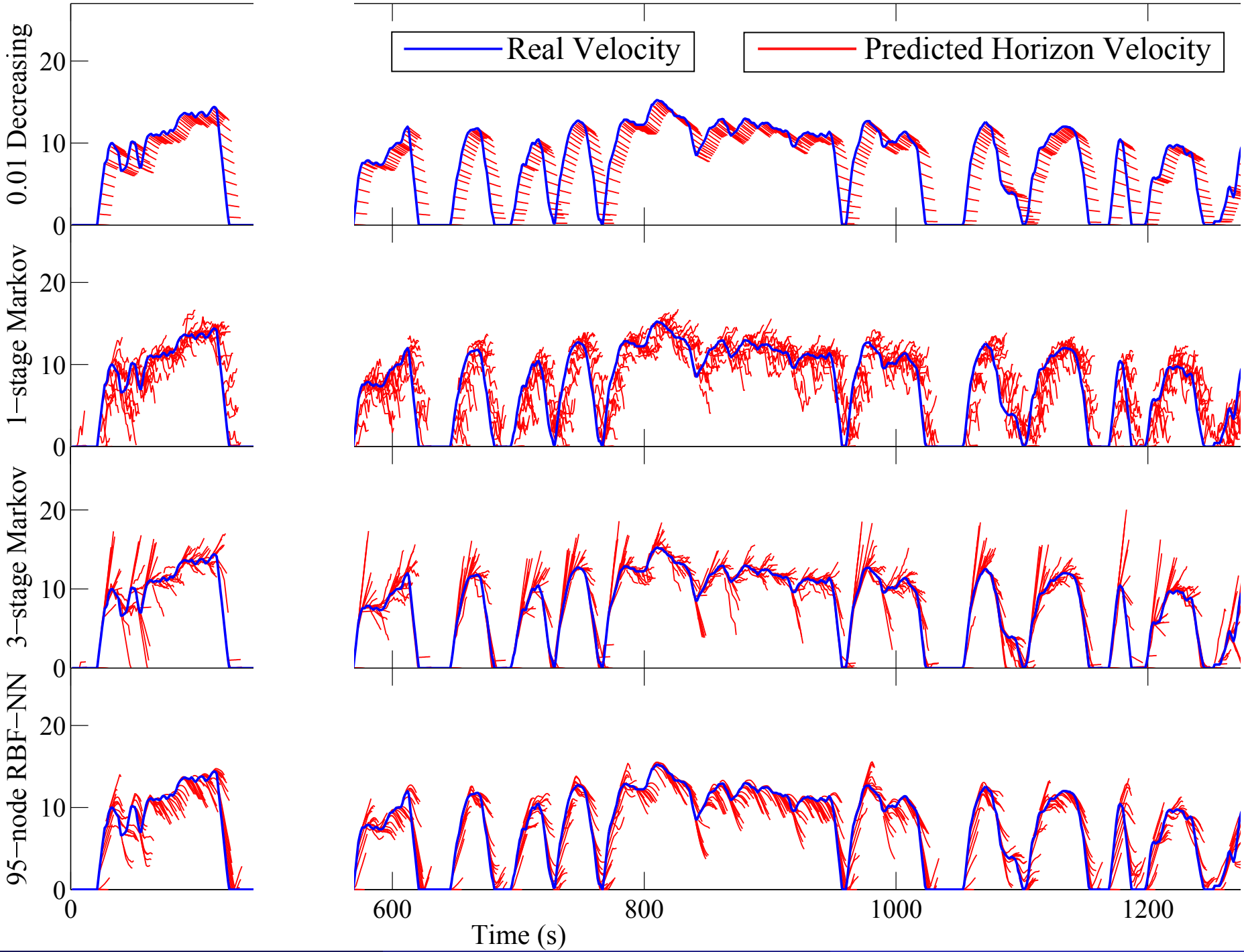
Comparison on UDDS

Methods	Vel. RMSE	Fuel (g)	Sim. time (sec)
Deterministic DP	–	**335**	–
Deterministic MPC	–	**353**	–
-0.01 EV	2.08	389	0.032
1-stage MC	2.33	410	1.647
3-stage MC	1.62	374	2.919
RBF-95 ANN	1.57	369	0.208

DP = Dynamic programming; MPC = Model predictive control;
EV = Exponentially Varying; MC = Markov-chain;
ANN = Artificial neural network


Deterministic DP = 0
Increase of Fuel Consumption (%)
25
20
15
10
5
0
-0.01
0
0.01
1-stage
2-stage
3-stage
BP-50
RBF-95
Recurrent-20
Deterministic MPC
Exponentially Varied
Markov-chain
ANN-node

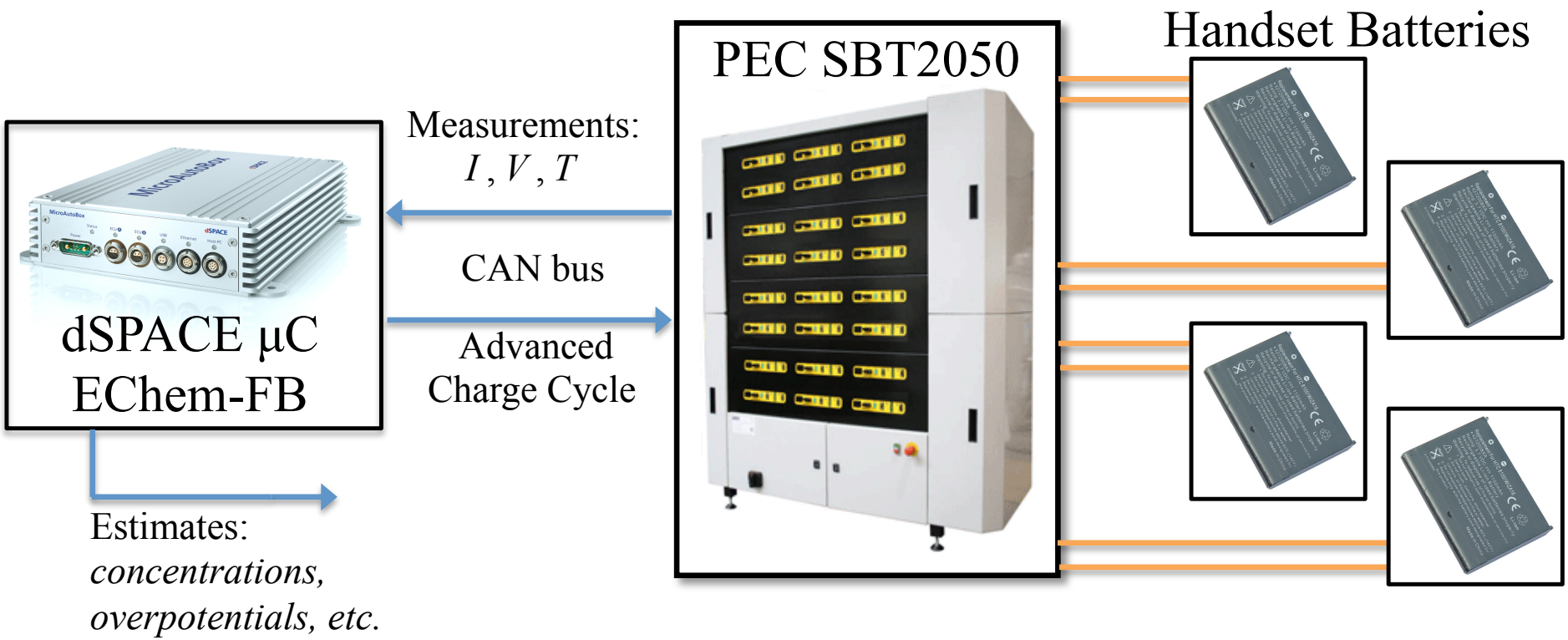

Battery SOC
0.68
0.66
0.64
0.62
0.6
0.58
0.56
0.54
0.52
0.5
0
200
400
600
800
1000
1200
1400
Time (s)
Benchmark DP
1−stage MC MPC
0.01 EV MPC
Deterministic MPC
95−RBF MPC
3−stage MC MPC


Real Velocity
Predicted Horizon Velocity
0.01 Decreasing
1−stage Markov
3−stage Markov
95−node RBF−NN
0
10
20
600
800
1000
1200
Time (s)

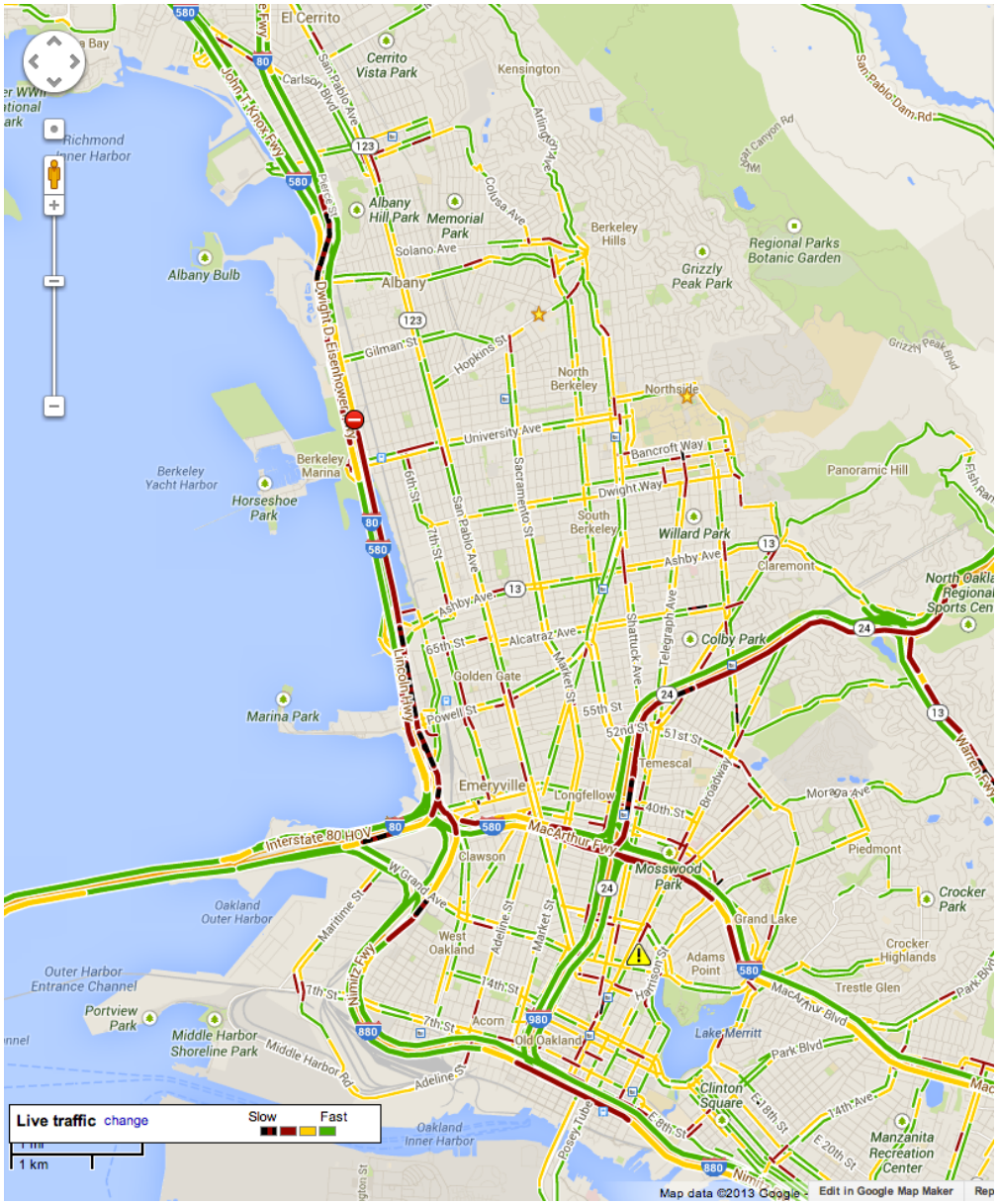
Outline

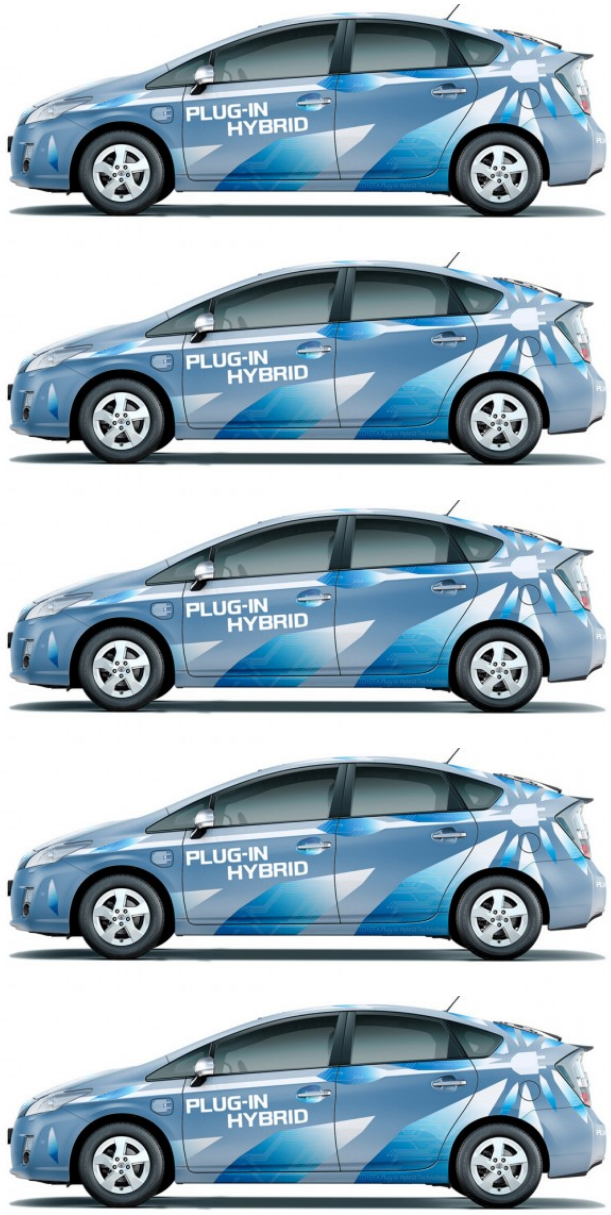
1. Electrochemical-based Battery SOC/SOH Estimation
2. PHEV Energy Management for Battery Health
3. Velocity Forecasting for Predictive Energy Management
4. Upcoming Work

Fast Charging

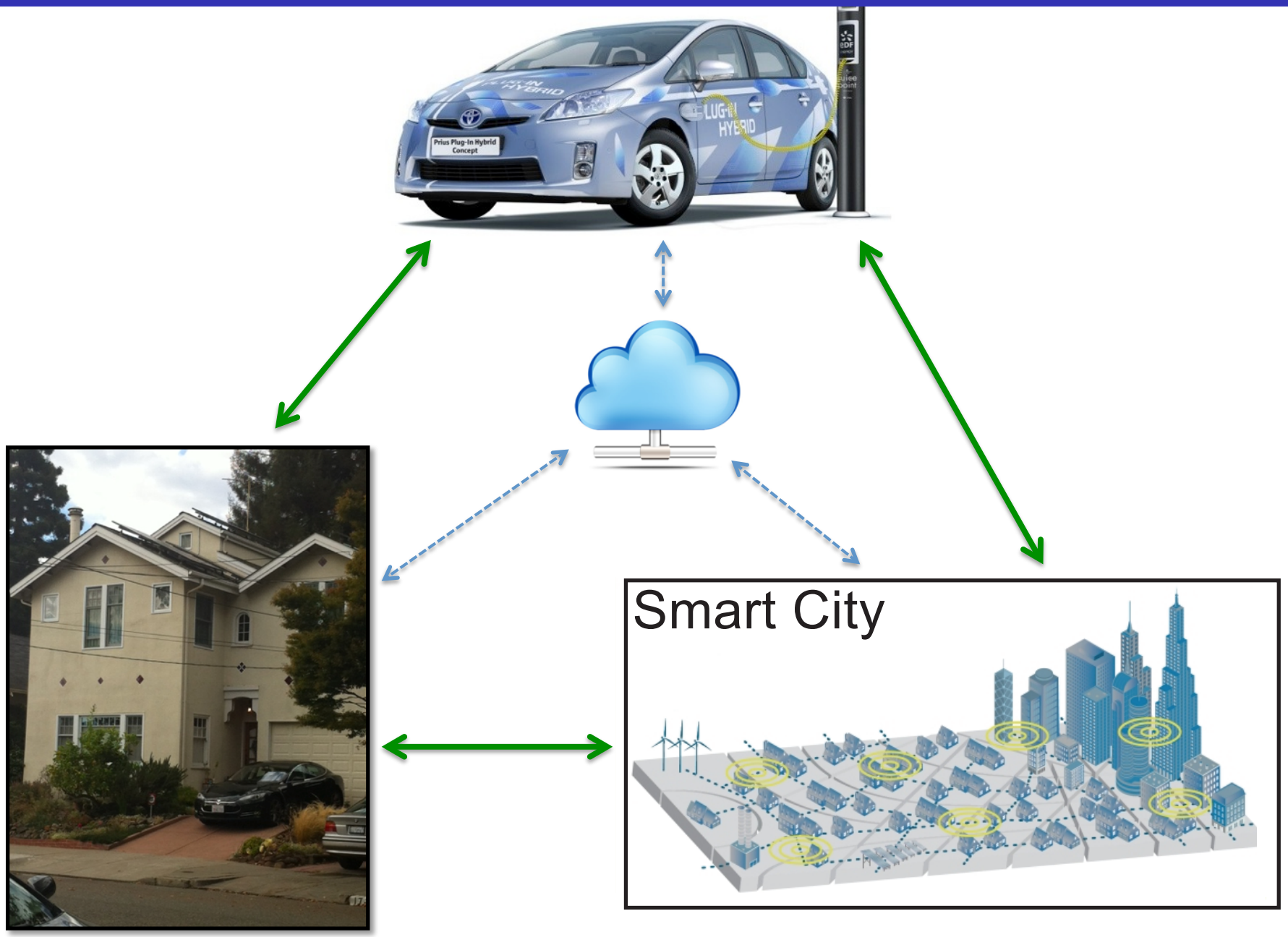


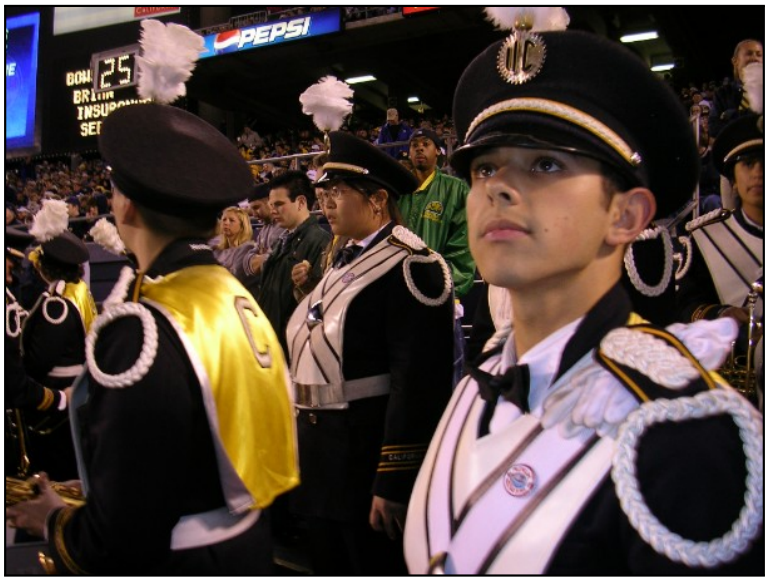
Optimize PHEV Energy Management w/ Real-time Traffic Data





Vehicle - Home / City / Grid Integration





Go Bears!

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